



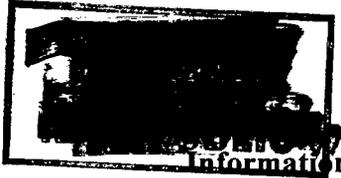
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ANNUAL AUDITED REPORT
FORM X-17A-5
PART III

Mail Processing Section
 SEC FILE NUMBER
 842303



FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 01/01/16 AND ENDING 12/31/16
 MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: Brookwood Associates, LLC
 ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)
 15 Piedmont Center Suite 820

OFFICIAL USE ONLY
FIRM I.D. NO.

(No. and Street)
 Atlanta GA 30305
 (City) (State) (Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT
 Robert Winborne (404) 874-7433
 (Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*
 Elliott Davis Decosimo, LLC

(Name - if individual, state last, first, middle name)
 629 Market Street, Suite 100 Chattanooga TN 37402
 (Address) (City) (State) (Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

Jan

RMS

OATH OR AFFIRMATION

I, Robert S Winborne, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of Brookwood Associates, LLC, as of December 31, 2016, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

ERIN SEALRES
NOTARY PUBLIC
Cobb County
State of Georgia
My Comm. Expires February 7, 2021

[Handwritten Signature]
Signature
Managing Partner
Title

[Handwritten Signature: Erin Sealres]
Notary Public

This report ** contains (check all applicable boxes):

- (a) Facing Page.
(b) Statement of Financial Condition.
(c) Statement of Income (Loss).
(d) Statement of Changes in Financial Condition.
(e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
(g) Computation of Net Capital.
(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
(l) An Oath or Affirmation.
(m) A copy of the SIPC Supplemental Report.
(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

**For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

Brookwood Associates, L.L.C.

Statement of Financial Condition

December 31, 2016

Assets

Current assets

Cash and Cash Equivalents	\$2,060,461
Accounts receivable	20,000
Other Current Assets	9,900

Total current assets 2,090,361

**Property, furniture and equipment, net of
accumulated depreciation of \$321,215** 29,782

Total assets \$2,120,143

Liabilities and member's equity

Current liabilities

Accounts payable and accrued expenses \$1,658,267

Accrued lease obligation 36,602

Member's equity 425,274

Total liabilities and member's equity \$2,120,143
