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ANNUAL AUDITED REPORT
FORM X-17A-5
PART III

SEC
 Mail Professional
 Section
 FEB 28 2017
 Washington DC
 416

SEC FILE NUMBER
8-32673

FACING PAGE

**Information Required of Brokers and Dealers Pursuant to Section 17 of the
 Securities Exchange Act of 1934 and Rule 17a-5 Thereunder**

REPORT FOR THE PERIOD BEGINNING 01/01/16 AND ENDING 12/31/16
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: Brooklight Place Securities, Inc.

OFFICIAL USE ONLY
FIRM I.D. NO.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)
1901 Butterfield Road, Suite 220

(No. and Street)

Downers Grove

Illinois

60515

(City)

(State)

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

Jeff Hoetzel

(888) 976-0659

(Arca Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

Plante & Moran, PLLC

(Name - if individual, state last, first, middle name)

10 S. Riverside Plaza, 9th Floor Chicago

Illinois

60606

(Address)

(City)

(State)

(Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

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*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

SEC 1410 (06-02)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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OATH OR AFFIRMATION

I, Jeffery Hoelzel, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of Brooklight Place Securities, Inc. of December 31, 2016, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

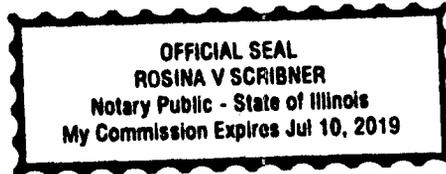
Jeffery X. Hoelzel
Signature

President

Title

R V Scribner

Notary Public



This report ** contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

**For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

Brooklight Place Securities, Inc.

**Financial Report
with Supplementary Information
December 31, 2016**

Brooklight Place Securities, Inc.

Contents

Report Letter	1
Financial Statements	
Statement of Financial Condition	2
Statement of Operations	3
Statement of Changes in Stockholder's Equity	4
Statement of Cash Flows	5
Notes to Financial Statements	6-8
Supplementary Information	9
Computation of Net Capital and Aggregate Indebtedness Under SEC Rule 15c3-1	10
Statement Regarding Rule 15c3-3	11
Report Letter on Exemption Report	12
Exemption Report	13



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REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

To the Board of Directors
Brooklight Place Securities, Inc.

We have audited the accompanying statement of financial condition of Brooklight Place Securities, Inc. (an Illinois corporation) (the "Company") as of December 31, 2016 and the related statements of operations, changes in stockholders equity, and cash flows for the year then ended. These financial statements are the responsibility of Brooklight Place Securities, Inc.'s management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with the standards of the Public Company Accounting Oversight Board (United States). Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. The Company is not required to have, nor were we engaged to perform, an audit of its internal control over financial reporting. Our audit included consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control over financial reporting. Accordingly, we express no such opinion. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Brooklight Place Securities, Inc. as of December 31, 2016 and the results of its operations and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

The supplementary information on pages 10 and 11 has been subjected to audit procedures performed in conjunction with the audit of Brooklight Place Securities, Inc.'s financial statements. The supplemental information is the responsibility of Brooklight Place Securities, Inc.'s management. Our audit procedures included determining whether the supplemental information reconciles to the financial statements or the underlying accounting and other records, as applicable, and performing procedures to test the completeness and accuracy of the information presented in the supplemental information. In forming our opinion on the supplemental information, we evaluated whether the supplemental information, including its form and content, is presented in conformity with 17 C.F.R. §240.17a-5. In our opinion, the supplemental information is fairly stated, in all material respects, in relation to the financial statements as a whole.

Plante & Moran, PLLC

Chicago, Illinois
February 22, 2017



Brooklight Place Securities, Inc.

Statement of Financial Condition December 31, 2016

Assets	
Cash and cash equivalents	\$ 227,645
Commissions receivable	67,156
Other receivables	1,534
Prepaid expenses	35,558
Broker deposits	25,000
Furniture, computer hardware and software - Net of accumulated depreciation of \$21,639	16,761
Deferred tax asset	30,089
	<hr/>
Total assets	<u><u>\$ 403,743</u></u>
 Liabilities and Stockholder's Equity	
Liabilities	
Commissions payable	\$ 46,955
Related Party payable	11,213
Other accounts payable and accrued expenses	6,274
	<hr/>
Total liabilities	64,442
 Stockholder's Equity	
Common stock, \$1 par value:	
Authorized - 1,000 shares; issued and outstanding - 360 shares (owned by Crabtree Holdings, LLC)	360
Additional paid-in capital	289,996
Retained earnings	48,945
	<hr/>
Total stockholder's equity	339,301
	<hr/>
Total liabilities and stockholder's equity	<u><u>\$ 403,743</u></u>

Brooklight Place Securities, Inc.

Statement of Operations Year Ended December 31, 2016

Revenues

Commissions:	
Security transactions	\$ 56,590
Mutual fund	1,317,377
Variable annuity	982,799
Equity indexed annuity	11,726
Mutual fund trail commissions	74,040
Other income	<u>45,561</u>
 Total revenues	 2,488,093

Expenses

Commissions	1,792,393
Employee compensation and related benefits	327,885
Other general and administrative expenses	<u>406,026</u>
 Total expenses	 <u>2,526,304</u>

Loss Before Income Taxes	(38,211)
 Income Tax Benefit	 <u>(12,832)</u>
 Net Loss	 <u><u>\$ (25,379)</u></u>

Brooklight Place Securities, Inc.

Statement of Changes in Stockholder's Equity Year Ended December 31, 2016

	<u>Common Stock</u>	<u>Additional Paid-in Capital</u>	<u>Retained Earnings</u>	<u>Total Stockholder's Equity</u>
Balance - January 1, 2016	\$ 360	\$ 289,996	\$ 74,324	\$ 364,680
Net Loss	-	-	(25,379)	(25,379)
Balance - December 31, 2016	<u>\$ 360</u>	<u>\$ 289,996</u>	<u>\$ 48,945</u>	<u>\$ 339,301</u>

Brooklight Place Securities, Inc.

Statement of Cash Flows Year Ended December 31, 2016

Cash Flows from Operating Activities	
Net Loss	\$ (25,379)
Adjustments to reconcile net loss to net cash used in operating activities:	
Depreciation expense	7,680
Changes in operating assets and liabilities:	
Decrease in receivables	90,960
Decrease in prepaid expenses	62
Increase in deferred income taxes	(12,832)
Decrease in commissions payable	(72,209)
Increase in accounts payable and accrued expenses	10,278
	<hr/>
Net cash used in operating activities	(1,440)
Net Decrease in Cash and Cash Equivalents	(1,440)
Cash and Cash Equivalents - Beginning of year	229,085
	<hr/>
Cash and Cash Equivalents - End of year	\$ 227,645
	<hr/> <hr/>

Brooklight Place Securities, Inc.

Notes to Financial Statements December 31, 2016

Note 1 - Industry Operations

Brooklight Place Securities, Inc. (the "Company") was incorporated in 1984 as MTL Equity Products, Inc. All issued and outstanding stock was acquired by Crabtree Holdings, LLC after the close of business on February 28, 2013. The Company was renamed Brooklight Place Securities, Inc. as of March 1, 2013.

The Company acts as an insurance agent, broker, producer, and intermediary in the solicitation of purchases of insurance and the solicitation of purchases and sales of securities. The Company is a wholly owned subsidiary of Crabtree Holdings, LLC, which in turn is owned 50 percent by Charles R. Brettell and 50 percent by Jeffery K. Hoelzel.

The Company is primarily involved in the sale of mutual funds and variable annuities with 81% of total mutual fund sales placed with the American Funds, Putnam Investments, Franklin Templeton, Fidelity Advisors, Alliance Bernstein and mutual funds held in a brokerage account at RBC Correspondent Services and 59% of total variable annuities placed with Jackson National, Prudential Annuities, Pacific Life, The Hartford and Nationwide during 2016. Approximately 69% of the Company's 2016 business was transacted in Illinois, Michigan, Ohio, Wisconsin and Minnesota. Additionally, five registered representatives produced 32% of the total revenue in 2016.

Certain insurance companies require that some fixed annuity products be sold by registered representatives and offered through broker/dealer firms and, as such, the Company also offers fixed annuity products for these insurance companies.

The Company requires that equity indexed annuities (EIAs) be sold by registered representatives. The Company works with several field marketing organizations to determine suitable products to provide access and service in selling EIAs.

The Company clears its securities transactions on a fully disclosed basis through The Royal Bank of Canada (the clearing broker).

Note 2 - Summary of Significant Accounting Policies

Use of Estimates - The preparation of financial statements in conformity with U.S. generally accepted accounting principles (GAAP) requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results could differ from those estimates.

Commissions - Commission revenue is recognized on a trade-date basis as transactions occur. Commission expense is recorded at the same time as related commission revenue.

Brooklight Place Securities, Inc.

Notes to Financial Statements December 31, 2016

Cash and Cash Equivalents - Cash and cash equivalents consist of short-term highly liquid investments with original maturities of less than three months at the time of the purchase, including the Company's investment in money market funds. The carrying value of cash equivalents approximates fair value.

Fixed Assets - Fixed assets are recognized and subsequently measured at cost less accumulated depreciation and any accumulated impairment losses. Cost includes expenditures that are directly attributable to the acquisition of the asset to prepare the asset for its intended use.

Depreciation commences when the assets are available for use and is expensed on a straight-line basis to depreciate the cost of these assets to their estimated residual value over their estimated useful lives. Depreciation methods, useful lives, and residual values are reviewed at each financial year end and are adjusted if appropriate. Estimated useful lives are five years for furniture, computer hardware and software.

Note 3 - Income Taxes

Deferred income tax assets and liabilities are computed annually for differences between the financial statement and tax bases of assets and liabilities that will result in taxable or deductible amounts in the future based on enacted tax laws and rates applicable to the period in which the differences are expected to affect taxable income. The Company's deferred tax asset primarily stems from net operating loss carryforwards. Valuation allowances are established when necessary to reduce deferred tax assets to the amount expected to be realized. Income tax expense is the tax payable or refundable for the period, plus or minus the change during the period in deferred tax assets and liabilities.

The Company recognized a deferred tax asset of \$30,089 as of December 31, 2016. The \$12,832 of income tax benefit recognized in 2016 primarily relates to an increase in net operating loss carryforwards. Remaining net operating loss carryforwards in the amount of \$89,000 will expire between 2033 and 2036. No valuation allowance was deemed necessary as the Company expects future tax liabilities to exceed the available net operating loss carryforward in the near term.

Management believes the Company has no material unrecognized income tax benefits or significant tax positions.

Note 4 - Commitments and Contingencies

At December 31, 2016, there were no commitments or contingencies related to legal actions.

Brooklight Place Securities, Inc.

Notes to Financial Statements December 31, 2016

Note 5 - Related Party Transactions

The Company retains certain consulting and management services from Prosody Consulting, LLC (owned by Charles R. Brettell, 50 percent owner of Crabtree Holdings, LLC) and Jeffery K. Hoelzel (also 50 percent owner of Crabtree Holdings, LLC). For the year ended December 31, 2016, Prosody Consulting, LLC was paid \$39,600 and Jeffery K. Hoelzel was paid \$96,000. Related party payables on the statement of financial condition include \$9,213 for reimbursement of expenses paid on behalf of the Company by Mr. Hoelzel and \$2,000 due to Prosody Consulting, LLC for services rendered during 2016.

Note 6 - Transactions with Customers

The Company has agreed to indemnify its clearing broker for losses that it may sustain from the customer accounts introduced by the Company. At December 31, 2016, there were no amounts subject to potential indemnification.

Note 7 - Net Capital Requirements

Pursuant to the net capital provisions of Rule 15c3-1 under the Securities Exchange Act of 1934, the Company is required to maintain a minimum amount of net capital as defined under such provisions. Net capital and the related net capital ratio may fluctuate on a daily basis. The Company is required to maintain minimum net capital equal to the greater of 6-2/3 percent of aggregate indebtedness, as defined, or \$50,000. At December 31, 2016, the Company had net capital of \$255,359, exceeding the requirement by \$205,359. At December 31, 2016, the ratio of aggregate indebtedness to net capital was .25 to 1. The net capital rules may effectively restrict the payment of advances, dividends, or other equity withdrawals.

Note 8 - Subsequent Events

The Company has evaluated subsequent events through February 21, 2017, the date the financial statements were available to be issued.

Supplementary Information

Brooklight Place Securities, Inc.

Computation of Net Capital and Aggregate Indebtedness Under SEC Rule 15c3-1 December 31, 2016

Aggregate Indebtedness

Total liabilities	<u>\$ 64,442</u>
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Net Capital

Stockholder's equity	\$ 339,301
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Less nonallowable assets:

Prepaid expenses	(35,558)
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Nonallowable receivables	(1,534)
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Deferred tax asset	(30,089)
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Computer hardware and software	<u>(16,761)</u>
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Total nonallowable assets	<u>(83,942)</u>
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Net capital before haircuts	255,359
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Haircuts on common stock	<u>-</u>
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Net capital	<u>\$ 255,359</u>
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Capital Requirements

Minimum net capital requirement (greater of 6 2/3 percent of aggregate indebtedness or \$50,000)	\$ 50,000
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Net capital in excess of requirement	<u>205,359</u>
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Net capital as above	<u>\$ 255,359</u>
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Ratio of aggregate indebtedness to net capital	<u>.25 to 1</u>
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Note: There are no material differences between the above computation and the Company's corresponding unaudited Form X-17A-5 as of December 31, 2016.

Brooklight Place Securities, Inc.

Statement Regarding Rule 15c3-3 December 31, 2016

The Company is exempt from the reserve requirements of Rule 15c3-3 as its transactions are limited such that they do not handle customer funds or securities. Accordingly, the computation for determination of reserve requirements pursuant to Rule 15c3-3 and information relating to the possession or control requirement pursuant to Rule 15c3-3 are not applicable.



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REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

To the Board of Directors
Brooklight Place Securities, Inc.

We have reviewed management's statements, included in the accompanying Exemption Report, in which (1) Brooklight Place Securities, Inc. identified the following provisions of 17 C.F.R. §15c3-3(k) under which Brooklight Place Securities, Inc. claimed an exemption from 17 C.F.R. §240.15c3-3: (k)(2)(i) (the "exemption provisions") and (2) Brooklight Place Securities, Inc. stated that Brooklight Place Securities, Inc. met the identified exemption provisions throughout the most recent fiscal year without exception. Brooklight Place Securities, Inc.'s management is responsible for compliance with the exemption provisions and its statements.

Our review was conducted in accordance with the standards of the Public Company Accounting Oversight Board (United States) and accordingly, included inquiries and other required procedures to obtain evidence about Brooklight Place Securities, Inc.'s compliance with the exemption provisions. A review is substantially less in scope than an examination, the objective of which is the expression of an opinion on management's statements. Accordingly, we do not express such an opinion.

Based on our review, we are not aware of any material modifications that should be made to management's statements referred to above for them to be fairly stated, in all material respects, based on the provisions set forth in paragraph (k)(2)(i) of Rule 15c3-3 under the Securities Exchange Act of 1934.

Plante & Moran, PLLC

Chicago, Illinois
February 22, 2017



Brooklight Place Securities

Brooklight Place Securities, Inc. is a member of FINRA & SIPC

BROOKLIGHT PLACE SECURITIES, INC. EXEMPTION REPORT

SEC Rule 17a-5(d)(4)

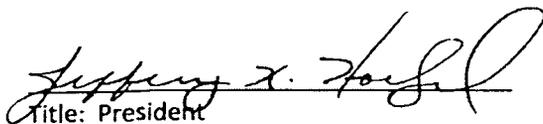
Brooklight Place Securities, Inc. (the "Company") is a registered broker-dealer subject to Rule 17a-5 promulgated by the Securities and Exchange Commission (17 C.F.R. Section 240.17a-5, "Reports to be made by certain brokers and dealers"). This Exemption Report was prepared as required by 17 C.F.R. Section 240.17a-5(d)(1) and (4). To the best of its knowledge and belief, the Company states the following:

The Company claimed an exemption from 17 C.F.R. Section 240.15c3-3 under the following provisions of 17 C.F.R. Section 240.15c3-3(k): (2)(ii).

The Company met the identified exemption provisions in 17 C.F.R. Section 240.15c3-3(k) throughout the most recent fiscal year, without exception.

Brooklight Place Securities, Inc.

I, Jeffery K. Hoelzel, swear that, to my best knowledge and belief, this Exemption Report is true and correct.


Title: President

February 20, 2017
Date



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9th floor
Chicago, IL 60606
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Fax: 312.207.1066
plantemoran.com

REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM ON APPLYING
AGREED-UPON PROCEDURES

To the Board of Directors
Brooklight Place Securities, Inc.

In accordance with Rule 17a-5(e)(4) under the Securities Exchange Act of 1934 and with the SIPC Series 600 Rules, we have performed the procedures enumerated below with respect to the accompanying General Assessment Reconciliation (Form SIPC-7) to the Securities Investor Protection Corporation (SIPC) for the year ended December 31, 2016, which were agreed to by Brooklight Place Securities, Inc., and SIPC, solely to assist you and the other specified parties in evaluating Brooklight Place Securities, Inc.'s compliance with the applicable instructions of Form SIPC-7. Brooklight Place Securities, Inc.'s management is responsible for Brooklight Place Securities, Inc.'s compliance with those requirements. This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the Public Company Accounting Oversight Board (United States). The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose. The procedures we performed and our findings are as follows:

1. Compared the listed assessment payments in Form SIPC-7 with respective cash disbursement records entries (the general ledger), noting no differences;
2. Compared the amounts reported on the audited Form X-17A-5 (FOCUS Report) for the year ended December 31, 2016, as applicable, with the amounts reported in Form SIPC-7 for the year ended December 31, 2016, noting no differences;
3. Compared any adjustments reported in Form SIPC-7 with supporting schedules and working papers, noting no differences;
4. Proved the arithmetical accuracy of the calculations reflected in Form SIPC-7 and in the related schedules and working papers supporting the adjustments noting no differences.

We were not engaged to, and did not, conduct an examination, the objective of which would be the expression of an opinion on compliance. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the specified parties listed above and is not intended to be and should not be used by anyone other than these specified parties.

Plante & Moran, PLLC

Chicago, Illinois
February 22, 2017



DETERMINATION OF "SIPC NET OPERATING REVENUES" AND GENERAL ASSESSMENT

Amounts for the fiscal period
beginning 1/1/2016
and ending 12/31/2016

Eliminate cents

Item No
2a. Total revenue (FOCUS Line 12/Part IA Line 0) (Code 4030) \$ 2,488,093

2b. Additions:

- (1) Total revenues from the securities business of subsidiaries (except foreign subsidiaries) and predecessors not included above _____
 - (2) Net loss from principal transactions in securities or trading accounts _____
 - (3) Net loss from principal transactions in commodities or trading accounts _____
 - (4) Interest and dividend expense deducted in determining item 2a _____
 - (5) Net loss from management of or participation in the underwriting or distribution of securities _____
 - (6) Expenses other than advertising, printing, registration fees and legal fees deducted in determining net profit from management of or participation in underwriting or distribution of securities _____
 - (7) Net loss from securities in investment accounts _____
- Total additions _____

2c. Deductions:

- (1) Revenues from the distribution of shares of a registered open end investment company or mutual investment fund, from the sale of variable annuities, from the business of insurance, from investment advisory services rendered to registered investment companies or insurance company separate accounts, and from transactions in security related products 2,374,216
- (2) Revenues from commodity transactions _____
- (3) Commissions from brokerage and clearance paid to other SIPC members in connection with securities transactions _____
- (4) Reimbursements to or made in connection with proxy solicitation _____
- (5) Net gain or loss on sales of investment property _____
- (6) Total commissions and markets earned from transactions in U.S. issues of deposit and Treasury bills, debt and certificates of commercial paper that mature five months or less from issuance date _____
- (7) Direct expenses of printing, advertising and legal fees incurred in connection with other revenues reported in the revenues business revenues defined by Section 16(b)(1) of the Act _____
- (8) Other revenues not related to the conduct of the securities business _____
See instruction 2

Deductions in excess of \$100,000 require documentation:

- (9) Total interest and dividend expense (FOCUS line 24 PART IVA Line 10 Code 4075 plus line 20 4' above) but not in excess of total interest and dividend income \$ _____
 - 40% of net gain or loss earned on clearing accounts _____
Code 4075 plus line 20 4' above
- Enter the greater of the two above _____
- Total deductions 2,431,503

2d. SIPC Net Operating Revenues \$ 56,590

2e. General Assessment @ .0025 \$ 141.48

BROOKLIGHT PLACE SECURITIES, INC.

1901 Butterfield Road, Suite 220, Downers Grove, Illinois 60515

Toll-free: (888) 976-0659 Facsimile: (630) 908-4741

Brooklight Place Securities, Inc. is a member of FINRA & SIPC

February 27, 2017

VIA OVERNIGHT MAIL

SEC Headquarters
100 F. Street, NE
Washington, DC 20549

SEC
Mail Processing
Section

FEB 28 2017

Washington DC
415

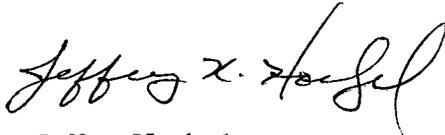
Re: 2016 Audited Financials

To Whom It May Concern:

Pursuant to the Securities and Exchange Commission (SEC) Rule 17a-5, we are sending our certified audited financial report for your review.

In the event that you need additional information, please contact Jeff Hoelzel directly at (888) 976-0659.

Sincerely,



Jeffery Hoelzel
President

Enclosure