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Washington DC  
411

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

**Nasdaq PHLX LLC (traded pursuant to unlisted trading privileges)**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

**Open End Management Investment Company**

3. Class of New Derivative Securities Product:

**Exchange Traded Fund**

4. Name of Underlying Instrument:

**FTSE Japan RIC Capped Index**



17004035

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based

**Broad-based**

6. Ticker Symbol(s) of New Derivative Securities Product:

**FLJP**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

**Listed on: Tokyo Stock Exchange**

8. Position Limits of New Derivative Securities Product (if applicable):

**Regular way trades settle on T + 2 (cash settled)**

9. Position Limits of New Derivative Securities Product (if applicable):

**N/A**

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

**Colleen Steele**

Title:

**Assistant Corporate Secretary**

Securities and Exchange Commission  
Trading and Markets

Telephone Number:

**301-978-8736**

Manual Signature of Official Responsible for Form:

NOV 24 2017

Date: **November 13, 2017**

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	<b>NOV 24 2017</b>

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