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SEC  
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NOV 24 2017  
Washington DC

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**Nasdaq PHLX LLC (traded pursuant to unlisted trading privileges)**
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open End Management Investment Company**
- Class of New Derivative Securities Product:  
**Exchange Traded Fund**
- Name of Underlying Instrument:  
**CEMP Emerging Market High Dividend 100 Volatility Weighted Index**
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Broad-based**
- Ticker Symbol(s) of New Derivative Securities Product:  
**CEY**
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**Listed on: Abu Dhabi , Athens, Bangkok, Bovespa, Bursa Malaysia, Hong Kong, Indonesia, Istanbul Stock Exchange, Johannesburg Stock Exchange, Korea Stock Exchange, Mexican Stock Exchange, Moscow, National Stock Exchange Of India, Philippine Stock Exchange, Qatar, Taiwan Stock Exchange, Warsaw**
- Position Limits of New Derivative Securities Product (if applicable):  
**Regular way trades settle on T + 2 (cash settled)**
- Position Limits of New Derivative Securities Product (if applicable):  
**N/A**

Securities and Exchange Commission  
Trading and Markets  
NOV 24 2017  
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Part II Execution 17004014

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Colleen Steele**

Title:  
**Assistant Corporate Secretary**

Telephone Number:  
**301-978-8736**

Manual Signature of Official Responsible for Form

Date: **November 07, 2017**

SEC 2449 (6-01)

Act: Securities Exchange Act of 1934  
Section: 19b-4  
Rule: 19b-4(e)  
Public Availability: **NOV 24 2017**