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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549

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Section

FORM 19b-4(e)

NOV 24 2017

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Investors Exchange LLC
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See Attachment A
- Class of New Derivative Securities Product:
See Attachment A
- Name of Underlying Instrument:
See Attachment A
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See Attachment A
- Ticker Symbol(s) of New Derivative Securities Product:
See Attachment A
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See Attachment A
- Settlement Methodology of New Derivative Securities Product:
Regular way trades settle on T+3 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable



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Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Claudia Crowley

Title:
Chief Regulatory Officer

Telephone Number:
646-343-2041

Manual Signature of Official Responsible for Form:

Claudia Crowley

Date:
11/22/17

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Section	19b-4
Rule	19b-4(e)
Public Availability:	NOV 24 2017

Instructions for Completing Form 19b-4(e)

- I. Terms. Unless the context clearly indicates otherwise, terms used in this Form have the meaning ascribed to them in the Securities Exchange Act of 1934, as amended, and Rule 19b-4 thereunder.
- II. Who Must File; When to File. Rule 19b-4(e) requires every self-regulatory organization (SRO) seeking to rely on Rule 19b-4(e) to file Form 19b-4(e) with the Securities and Exchange Commission (Commission or SEC) at least 5 business days after commencement of trading a new derivative securities product that is not deemed to be a proposed rule change. Each time an SRO files Form 19b-4(e), the execution page must be completed.
- III. Number of Copies; How and Where to File. File the original and nine copies of each Form 19b-4(e) with the SEC, 450 Fifth Street, N.W., Washington, D.C. 20549. The SRO must keep an exact copy of the filing for its records. All copies must be legible. The filing date of any Form 19b-4(e) is the date of its actual receipt by the SEC, provided that the filing complies with applicable requirements.
- IV. Format of Filing. An SRO may use the printed Form 19b-4(e) or a reproduction of it.
- V. Paperwork Reduction Act Disclosure. Form 19b-4(e) requires an SRO filing the Form to provide the Commission with certain information concerning the nature of the new derivative securities product it intends to list and/or trade.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid control number. Sections 3(a)(26), 3(a)(27), 3(a)(28), 3(b), 19(b), 23(a) and 36(a) of the Securities Exchange Act of 1934 authorize the Commission to collect information on Form 19b-4(e) from SROs. See 15 U.S.C. §§78c(a)(26), 78c(a)(27), 78c(a)(28), 78c(b), 78s(b), 78w(a), 78mm(a).

Any member of the public may direct to the Commission any comments concerning the accuracy of the burden estimate on the facing page of Form 19b-4(e) and any suggestions for reducing this burden.

The principal purpose of Form 19b-4(e) is to enable the Commission to maintain an accurate record of the listing and trading of all new derivative securities products on the SROs not deemed to be proposed rule changes pursuant to Rule 19b-4(e).

It is estimated SROs will spend approximately 2 hours completing each Form 19b-4(e).

It is mandatory that an SRO file Form 19b-4(e) with the Commission at least 5 business days after commencement of trading a new derivative securities product that is not deemed to be a proposed rule change.

No assurance of confidentiality is given by the Commission with respect to the responses made in the Form. The public has access to the information contained in the Form.

This collection of information has been reviewed by the Office of Management and Budget (OMB) in accordance with the clearance requirements of 44 U.S.C. 3507. The applicable Privacy Act system of records is SEC-2 and the routine uses of the records are set forth at 40 FR 39255 (August 27, 1975) and 41 FR 5318 (February 5, 1976).

Attachment A to Form 19b-4(e)

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
UBRT	Corporation	ETN	S&P GSCI Brent Crude Oil Index ER	Global	Narrow
DBRT	Corporation	ETN	S&P GSCI Brent Crude Oil Index ER	Global	Narrow
MLQD	Fund	Index Fund Shares	Markit iBoxx USD Liquid Investment Grade Intermediate Index	Domestic	Broad
LLQD	Fund	Index Fund Shares	Markit iBoxx USD Liquid Investment Grade Long Index	Domestic	Broad
SDVY	Fund	Index Fund Shares	NASDAQ US Small Mid Cap Rising Dividend Achievers Index	Domestic	Broad
PXUS	Fund	Index Fund Shares	Nasdaq Developed Select Leaders Index	Global	Broad
VTC	Fund	Index Fund Shares	Bloomberg Barclays U.S. Corporate Bond Index	Domestic	Broad
PFFD	Fund	Index Fund Shares	Bank of America Merrill Lynch Diversified Core U.S. Preferred Securities Index	Domestic	Broad
FMHI	Fund	Managed Fund Shares	N/A	Domestic	Broad

Attachment A to Form 19b-4(e)

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
ENTR	Fund	Index Fund Shares	Entrepreneur 30 Index	Domestic	Broad
JHSC	Fund	Index Fund Shares	John Hancock Dimensional Small Cap Index	Domestic	Broad
BIBL	Fund	Index Fund Shares	Inspire 100 ETF	Domestic	Broad
DIVB	Fund	Index Fund Shares	Morningstar US Dividend and Buyback Index	Domestic	Broad
EMEM	Fund	Index Fund Shares	Solactive Most Favored Emerging Market Index	Global	Broad
RVRS	Fund	Index Fund Shares	Reverse Cap Weighted U.S. Large Cap Index	Domestic	Broad
JDIV	Fund	Index Fund Shares	JP Morgan US Dividend Index	Domestic	Broad
JMIN	Fund	Index Fund Shares	JP Morgan US Minimum Volatility Index	Domestic	Broad
JMOM	Fund	Index Fund Shares	JP Morgan US Momentum Factor Index	Domestic	Broad

Attachment A to Form 19b-4(e)

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
JQUA	Fund	Index Fund Shares	JP Morgan US Quality Factor Index	Domestic	Broad
JVAL	Fund	Index Fund Shares	JP Morgan US Value Factor Index	Domestic	Broad
FLAU	Fund	Index Fund Shares	FTSE Australia RIC Capped Index	Global	Broad
FLBR	Fund	Index Fund Shares	FTSE Brazil RIC Capped Index	Global	Broad
FLCA	Fund	Index Fund Shares	FTSE Canada RIC Capped Index	Global	Broad
FLCH	Fund	Index Fund Shares	FTSE China RIC Capped Index	Global	Broad
FLFR	Fund	Index Fund Shares	FTSE France RIC Capped Index	Global	Broad
FLGR	Fund	Index Fund Shares	FTSE Germany RIC Capped Index	Global	Broad
FLHK	Fund	Index Fund Shares	FTSE Hong Kong RIC Capped Index	Global	Broad

Attachment A to Form 19b-4(e)

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
FLIY	Fund	Index Fund Shares	FTSE Italy RIC Capped Index	Global	Broad
FLJP	Fund	Index Fund Shares	FTSE Japan RIC Capped Index	Global	Broad
FLMX	Fund	Index Fund Shares	FTSE Mexico RIC Capped Index	Global	Broad
FLKR	Fund	Index Fund Shares	FTSE South Korea RIC Capped Index	Global	Broad
FLTW	Fund	Index Fund Shares	FTSE Taiwan RIC Capped Index	Global	Broad
FLGB	Fund	Index Fund Shares	FTSE UK RIC Capped Index	Global	Broad
FLEE	Fund	Index Fund Shares	FTSE Developed Europe Capped Index	Global	Broad
FLEH	Fund	Index Fund Shares	FTSE Europe RIC Capped Hedged Index	Global	Broad
FLJH	Fund	Index Fund Shares	FTSE Japan RIC Capped Hedged Index	Global	Broad