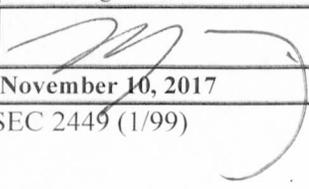


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| UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) | | | | | | | | | | | |
| Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 | | | | | | | | | | | |
| READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM | | | | | | | | | | | |
| Part I | | Initial Listing Report | | | | | | | | | |
| 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: | | | | | | | | | | | |
| NYSE American, LLC (trading pursuant to unlisted trading privileges) | | | | | | | | | | | |
| 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): | | | | | | | | | | | |
| Open-end Management Investment Company | | | | | | | | | | | |
| 3. Class of New Derivative Securities Product: | | | | | | | | | | | |
| Investment Company Units | | | | | | | | | | | |
| 4. Name of Underlying Instrument: | | | | | | | | | | | |
| FTSE Australia RIC Capped Index | | | | | | | | | | | |
| 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: | | | | | | | | | | | |
| Broad-Based | | | | | | | | | | | |
| 6. Ticker Symbol(s) of New Derivative Securities Product: | | | | | | | | | | | |
| FLAU | | | | | | | | | | | |
| 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: | | | | | | | | | | | |
| ASX | | | | | | | | | | | |
| 8. Settlement Methodology of New Derivative Securities Product: | | | | | | | | | | | |
| Regular way trades settle on T+2/Book entry only held in DTC. | | | | | | | | | | | |
| 9. Position Limits of New Derivative Securities Product (if applicable): | | | | | | | | | | | |
| Not applicable. | | | | | | | | | | | |
| Part II | | Execution | | | | | | | | | |
| The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. | | | | | | | | | | | |
| Name of Official Responsible for Form: | | | | | | | | | | | |
| Martha Redding | | | | | | | | | | | |
| Title: | | | | | | | | | | | |
| Associate General Counsel and Assistant Secretary | | | | | | | | | | | |
| Telephone Number: | | | | | | | | | | | |
| (212) 656-2938 | | | | | | | | | | | |
| Manual Signature of Official Responsible for Form: | | | | | | | | | | | |
|  November 10, 2017 SEC 2449 (1/99) | | <table border="1"> <tr> <td>Act</td> <td>Securities Exchange Act of 1934</td> </tr> <tr> <td>Section</td> <td>19b-4</td> </tr> <tr> <td>Rule</td> <td>19b-4(e)</td> </tr> <tr> <td>Public Availability:</td> <td>NOV 13 2017</td> </tr> </table> | | Act | Securities Exchange Act of 1934 | Section | 19b-4 | Rule | 19b-4(e) | Public Availability: | NOV 13 2017 |
| Act | Securities Exchange Act of 1934 | | | | | | | | | | |
| Section | 19b-4 | | | | | | | | | | |
| Rule | 19b-4(e) | | | | | | | | | | |
| Public Availability: | NOV 13 2017 | | | | | | | | | | |



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