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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e)

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Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Area, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Corporation

3. Class of New Derivative Securities Product: Commodity-Linked Securities

Securities and Exchange Commission Trading and Markets

4. Name of Underlying Instrument: Barclays Global Carbon II TR USD Index

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5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based

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6. Ticker Symbol(s) of New Derivative Securities Product: GRN

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: ICE Futures Europe

8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+2/Book entry only held in DTC.



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9. Position Limits of New Derivative Securities Product (if applicable): Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Martha Redding

Title: Associate General Counsel and Assistant Secretary

Telephone Number: (212) 656-2938

Manual Signature of Official Responsible for Form: [Signature]

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

November 3, 2017

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