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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

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SEP 05 2017

Washington DC
408

FORM 19b-4(e)

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Investors Exchange LLC
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See Attachment A
- Class of New Derivative Securities Product:
See Attachment A
- Name of Underlying Instrument:
See Attachment A
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See Attachment A
- Ticker Symbol(s) of New Derivative Securities Product:
See Attachment A
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See Attachment A
- Settlement Methodology of New Derivative Securities Product:
Regular way trades settle on T+3 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable

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Part II Execution 17003556

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Claudia Crowley

Title:
Chief Regulatory Officer

Telephone Number:
646-343-2041

Manual Signature of Official Responsible for Form:
Claudia Crowley

Date:
9/1/17

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	SEP 05 2017

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Instructions for Completing Form 19b-4(e)

- I. Terms. Unless the context clearly indicates otherwise, terms used in this Form have the meaning ascribed to them in the Securities Exchange Act of 1934, as amended, and Rule 19b-4 thereunder.
- II. Who Must File; When to File. Rule 19b-4(e) requires every self-regulatory organization (SRO) seeking to rely on Rule 19b-4(e) to file Form 19b-4(e) with the Securities and Exchange Commission (Commission or SEC) at least 5 business days after commencement of trading a new derivative securities product that is not deemed to be a proposed rule change. Each time an SRO files Form 19b-4(e), the execution page must be completed.
- III. Number of Copies; How and Where to File. File the original and nine copies of each Form 19b-4(e) with the SEC, 450 Fifth Street, N.W., Washington, D.C. 20549. The SRO must keep an exact copy of the filing for its records. All copies must be legible. The filing date of any Form 19b-4(e) is the date of its actual receipt by the SEC, provided that the filing complies with applicable requirements.
- IV. Format of Filing. An SRO may use the printed Form 19b-4(e) or a reproduction of it.
- V. Paperwork Reduction Act Disclosure. Form 19b-4(e) requires an SRO filing the Form to provide the Commission with certain information concerning the nature of the new derivative securities product it intends to list and/or trade.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid control number. Sections 3(a)(26), 3(a)(27), 3(a)(28), 3(b), 19(b), 23(a) and 36(a) of the Securities Exchange Act of 1934 authorize the Commission to collect information on Form 19b-4(e) from SROs. See 15 U.S.C. §§78c(a)(26), 78c(a)(27), 78c(a)(28), 78c(b), 78s(b), 78w(a), 78mm(a).

Any member of the public may direct to the Commission any comments concerning the accuracy of the burden estimate on the facing page of Form 19b-4(e) and any suggestions for reducing this burden.

The principal purpose of Form 19b-4(e) is to enable the Commission to maintain an accurate record of the listing and trading of all new derivative securities products on the SROs not deemed to be proposed rule changes pursuant to Rule 19b-4(e).

It is estimated SROs will spend approximately 2 hours completing each Form 19b-4(e).

It is mandatory that an SRO file Form 19b-4(e) with the Commission at least 5 business days after commencement of trading a new derivative securities product that is not deemed to be a proposed rule change.

No assurance of confidentiality is given by the Commission with respect to the responses made in the Form. The public has access to the information contained in the Form.

This collection of information has been reviewed by the Office of Management and Budget (OMB) in accordance with the clearance requirements of 44 U.S.C. 3507. The applicable Privacy Act system of records is SEC-2 and the routine uses of the records are set forth at 40 FR 39255 (August 27, 1975) and 41 FR 5318 (February 5, 1976).

Attachment A to Form 19b-4(e)

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
RNDM	Fund	Index Fund Shares	Nasdaq Riskalyze Developed International Equity Select Index	Global	Broad
RNSC	Fund	Index Fund Shares	Nasdaq Riskalyze Small Cap US Equity Select Index	Domestic	Broad
RNDV	Fund	Index Fund Shares	Nasdaq Riskalyze US Equity Dividend Select Index	Domestic	Broad
RNEM	Fund	Index Fund Shares	Nasdaq Riskalyze Emerging Markets Equity Select Index	Global	Broad
RNLC	Fund	Index Fund Shares	Riskalyze Large Cap US Equity Select Index	Domestic	Broad
RNMC	Fund	Index Fund Shares	Nasdaq Riskalyze Mid Cap US Equity Select Index	Domestic	Broad
FCAL	Fund	Managed Fund Shares	N/A	Domestic	Broad
VSMV	Fund	Index Fund Shares	Nasdaq Victory US Multi-Factor Minimum Volatility Index	Domestic	Broad
OCIO	Fund	Managed Fund Shares	N/A	Global	Broad

Attachment A to Form 19b-4(e)

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
TTAI	Fund	Managed Fund Shares	N/A	Global	Broad
VESH	Fund	Managed Fund Shares	N/A	Domestic	Broad
GOAU	Fund	Index Fund Shares	US Global GO GOLD and Precious Metals Miners Index	Global	Broad
GSSC	Fund	Index Fund Shares	Goldman Sachs ActiveBeta U.S. Small Cap Equity Index	Domestic	Broad
USMF	Fund	Index Fund Shares	WisdomTree U.S. Multifactor Index	Domestic	Broad
SMMD	Fund	Index Fund Shares	Russell 2500 Index	Domestic	Broad
FANZ	Fund	Index Fund Shares	ProSports Sponsors Index	Global	Broad
IBD	Fund	Index Fund Shares	Inspire Corporate Bond Impact Index	Domestic	Broad
PREF	Fund	Managed Fund Shares	N/A	Global	Broad

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
FNG	Fund	Managed Fund Shares	N/A	Domestic	Broad
EUXL	Fund	Index Fund Shares	EURO STOXX 50 Index	Global	Broad
USEQ	Fund	Index Fund Shares	Russell1000®Enhanced ValueEqual Weight Index	Domestic	Broad
RGLB	Fund	Index Fund Shares	OFI Revenue Weighted Global Index	Global	Broad
SPMV	Fund	Index Fund Shares	S&P 500 Minimum Volatility Index	Domestic	Broad
SUSB	Fund	Index Fund Shares	Bloomberg Barclays MSCI US Corporate 1-5 Year ESG Focus Index	Global	Broad
SUSC	Fund	Index Fund Shares	Bloomberg Barclays MSCI US Corporate ESG Focus Index	Global	Broad
IGEB	Fund	Index Fund Shares	BlackRockInvestmentGrade EnhancedBond Index	Global	Broad
REFA	Fund	Index Fund Shares	OFI Revenue Weighted International Index	Global	Broad

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
REEM	Fund	Index Fund Shares	OFI Revenue Weighted Emerging Markets Index	Global	Broad
SQLV	Fund	Index Fund Shares	Royce Small-Cap Quality Value Index	Domestic	Broad
HYDB	Fund	Index Fund Shares	BlackRock High Yield Defensive Bond Index	Global	Broad
EMXC	Fund	Index Fund Shares	MSCI Emerging Markets ex China Index	Global	Broad
USOU	Fund	Managed Fund Shares	N/A	Domestic	Broad
USOD	Fund	Managed Fund Shares	N/A	Domestic	Broad
EQRR	Fund	Index Fund Shares	Nasdaq U.S. Large Cap Equities for Rising Rates Index	Domestic	Broad
DMRS	Fund	Index Fund Shares	S&P 600 Managed Risk 2.0 Index	Domestic	Broad
DMRM	Fund	Index Fund Shares	S&P 400 Managed Risk 2.0 Index	Domestic	Broad

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
DMRL	Fund	Index Fund Shares	S&P 500 Managed Risk 2.0 Index	Domestic	Broad
DMRI	Fund	Index Fund Shares	S&P EPAC Ex. Korea LargeMidCap Managed Risk 2.0 Index	Global	Broad
EDOW	Fund	Index Fund Shares	Dow Jones Industrial Average Equal Weight Index	Domestic	Broad
AMCA	Fund	Index Fund Shares	Russell 1000 Pure Domestic Exposure Index	Domestic	Broad
ULBR	Corporation	ETN	Janus Velocity Long LIBOR Index	Domestic	Narrow
DLBR	Corporation	ETN	Janus Velocity Short LIBOR Index	Domestic	Narrow