

For Internal Use  
Only

Sec File No. 92017

RECEIVED

2017 SEP 15 PM 2:55

SEC / TM

Submit 1 Original  
and 9 Copies

Mail Processing  
Section

SEP 14 2017

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Washington DC

412

OMB APPROVAL

OMB Number: 3235-0504  
Expires: August 31, 2010  
Estimated average burden  
hours per response.....3.60

091-22937jm

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**Nasdaq BX, Inc. (traded pursuant to unlisted trading privileges)**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open End Management Investment Company**

3. Class of New Derivative Securities Product:  
**Exchange Traded Fund**

4. Name of Underlying Instrument:  
**Actively Managed Portfolio of Securities**

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Not Applicable**

6. Ticker Symbol(s) of New Derivative Securities Product:  
**FLMB**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**Listed on: OTC**

8. Position Limits of New Derivative Securities Product (if applicable):  
**Regular way trades settle on T + 3 (cash settled)**

9. Position Limits of New Derivative Securities Product (if applicable):  
**N/A**



17003507

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Colleen Steele**

Title:  
**Assistant Corporate Secretary**

Telephone Number:  
**301-978-8736**

Manual Signature of Official Responsible for Form:

Date: **September 11, 2017**

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 14 2017