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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

AUG 25 2017

Washington DC  
412

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**The NASDAQ Stock Market LLC**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open End Management Investment Company**

3. Class of New Derivative Securities Product:  
**Exchange Traded Fund**



4. Name of Underlying Instrument:  
**Morningstar Exponential Technologies Index**

17003305

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Broad-Based**

6. Ticker Symbol(s) of New Derivative Securities Product:  
**XT**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**BM&FBOVESPA S.A., MICEX, ELECTRONIC SHARE MARKET, EURONEXT AMSTERDAM, EURONEXT BRUSSELS, NASDAQ COPENHAGEN, XETRA, HONG KONG, KOREA EXCHANGE, LONDON STOCK EXCHANGE, MERCADO CONTINUO ESPANOL, NASDAQ, NATIONAL STOCK EXCHANGE OF INDIA, NYSE, OSLO BORS, EURONEXT PARIS, NASDAQ STOCKHOLM, TOKYO STOCK EXCHANGE, TORONTO STOCK EXCHANGE, SIX SWISS EXCHANGE**

8. Settlement Methodology of New Derivatives Product:  
**Regular way trades settle on T + 3 (cash settled)**

9. Position Limits of New Derivative Securities Product (if applicable):  
**N/A**

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**William Slattery**

Title:  
**Vice President**

Telephone Number:  
**1-301-978-8088**

Manual Signature of Official Responsible for Form:

*William Slattery*

Date: August 7, 2017

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	AUG 25 2017

Securities and Exchange Commission  
Trading and Markets

AUG 25 2017

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