

091-22824

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OMB Number: 3235-0504
Expires: July 31, 2016
Estimated average burden
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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

SEC
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Section
AUG 08 2017
Washington DC

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Bats BYX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See Attached.

3. Class of New Derivative Securities Product:
See Attached.



4. Name of Underlying Instrument:
See Attached.

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5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See Attached.

6. Ticker Symbol(s) of New Derivative Securities Product:
See Attached.

7. Market or Markets Upon Which Securities Comprising Underlying Instrument
Trades: See Attached.

8. Settlement Methodology of New Derivative Securities Product:
Trades Locked in at Exchange and settled at NSCC.

9. Position Limits of New Derivative Securities Product (if applicable):
Not Applicable.

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Anders Franzon

Title: SVP, Associate General Counsel

Telephone Number: 913-815-7154

Manual Signature of Official Responsible for Form:

Date: August 7, 2017

Act
Section
Rule
19b-4(e)
Public
Availability: AUG 08 2017

2017 AUG 13 AM 11:08
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ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BYX EXCHANGE, INC.
 COMMENCING ON AUGUST 2, 2017

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
ACWV	Trust	Investment Company Units	MSCI All Country World Minimum Volatility Index	World	Broad
ECH	Trust	Investment Company Units	MSCI Chile IMI 25/50 Index	World	Broad
EEMV	Trust	Investment Company Units	MSCI Emerging Markets Minimum Volatility (USD) Index	World	Broad
HEEM	Trust	Investment Company Units	iShares MSCI Emerging Markets ETF	World	Broad
PICK	Trust	Investment Company Units	MSCI ACWI Select Metals & Mining Producers ex Gold and Silver Investable Market Index (IMI)	World	Broad
SLVP	Trust	Investment Company Units	MSCI ACWI Select Silver Miners Investable Market Index (IMI)	World	Broad
EFAV	Trust	Investment Company Units	MSCI EAFE Minimum Volatility (USD) Index	World	Broad
EFG	Trust	Investment Company Units	MSCI EAFE Growth Index	World	Broad
EFV	Trust	Investment Company Units	MSCI EAFE Value Index	World	Broad
FLOT	Trust	Investment Company Units	Bloomberg Barclays US Floating Rate Note < 5 Years Index	U.S.	Broad
GOVT	Trust	Investment Company Units	ICE U.S. Treasury Core Bond Index	U.S.	Broad

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 COMMENCING ON AUGUST 2, 2017

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
GVI	Trust	Investment Company Units	Bloomberg Barclays U.S. Intermediate Government/Credit Bond Index	U.S.	Broad
HEFA	Trust	Investment Company Units	MSCI EAFE® 100% Hedged to USD Index	World	Broad
ICF	Trust	Investment Company Units	Cohen & Steers Realty Majors Index	U.S.	Broad
IDV	Trust	Investment Company Units	Dow Jones EPAC Select Dividend Index	World	Broad
IEFA	Trust	Investment Company Units	MSCI EAFE IMI	World	Broad
IEO	Trust	Investment Company Units	Dow Jones U.S. Select Oil Exploration & Production Index	U.S.	Broad
IGE	Trust	Investment Company Units	S&P North American Natural Resources Sector Index	World	Broad
IGV	Trust	Investment Company Units	S&P North American Technology Software Index	World	Broad
IPFF	Trust	Investment Company Units	S&P International Preferred Stock Index™	World	Broad
ITA	Trust	Investment Company Units	Dow Jones U.S. Select Aerospace & Defense Index	U.S.	Broad
ITB	Trust	Investment Company Units	Dow Jones U.S. Select Home Construction Index	U.S.	Broad
IYJ	Trust	Investment Company Units	Dow Jones U.S. Industrials Index	U.S.	Broad

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<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
IYT	Trust	Investment Company Units	Dow Jones Transportation Average Index	U.S.	Broad
IYZ	Trust	Investment Company Units	Dow Jones U.S. Select Telecommunications Index	U.S.	Broad
MTUM	Trust	Investment Company Units	MSCI USA Momentum Index	U.S.	Broad
QUAL	Trust	Investment Company Units	MSCI USA Sector Neutral Quality Index	U.S.	Broad
REM	Trust	Investment Company Units	FTSE NAREIT All Mortgage Capped Index	U.S.	Broad
USMV	Trust	Investment Company Units	MSCI USA Minimum Volatility (USD) Index	U.S.	Broad
VLUE	Trust	Investment Company Units	MSCI USA Enhanced Value Index	U.S.	Broad



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Washington DC
412

August 7, 2017

Ms. Claudette Ransom
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - Bats BYX Exchange, Inc.

Ms. Ransom,

On behalf of Bats BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding thirty (30) derivative securities products that commenced trading on Bats BYX Exchange on August 2, 2017. Please contact me if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
SVP, Associate General Counsel
913.815.7154

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