

091-25802 fm

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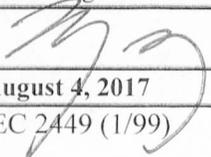
**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I	Initial Listing Report	SEC Mail Processing Section
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:		AUG 07 2017
NYSE American, LLC (trading pursuant to unlisted trading privileges)		Washington DC 412
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):		Securities and Exchange Commission Trading and Markets
Open-end Management Investment Company		AUG 07 2017 RECEIVED
3. Class of New Derivative Securities Product:		
Investment Company Units		
4. Name of Underlying Instrument:		
S&P 400 Managed Risk 2.0 Index		
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:		
Broad-Based		
6. Ticker Symbol(s) of New Derivative Securities Product:		
DMRM		
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:		
NYSE, NASDAQ		
8. Settlement Methodology of New Derivative Securities Product:		
Regular way trades settle on T+3/Book entry only held in DTC.		17003208
9. Position Limits of New Derivative Securities Product (if applicable):		
Not applicable.		

Part II Execution
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:										
Martha Redding										
Title:	Associate General Counsel and Assistant Secretary	<table border="1"> <tr> <td>Act</td> <td>Securities Exchange Act of 1934</td> </tr> <tr> <td>Section</td> <td>19b-4</td> </tr> <tr> <td>Rule</td> <td>19b-4(e)</td> </tr> <tr> <td>Public Availability:</td> <td>AUG 07 2017</td> </tr> </table>	Act	Securities Exchange Act of 1934	Section	19b-4	Rule	19b-4(e)	Public Availability:	AUG 07 2017
Act	Securities Exchange Act of 1934									
Section	19b-4									
Rule	19b-4(e)									
Public Availability:	AUG 07 2017									
Telephone Number:	(212) 656-2938									
Manual Signature of Official Responsible for Form:										
Date:	August 4, 2017									