

091-226822jn

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	July 31, 2016
Estimated average burden hours per response. . . .	3.60

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Bats BYX Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See Attached.
- Class of New Derivative Securities Product:
See Attached.
- Name of Underlying Instrument:
See Attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow Based.
See Attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See Attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument
Trades: See Attached.
- Settlement Methodology of New Derivative Securities Product:
Trades Locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not Applicable.



17003034

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: SVP, Associate General Counsel

Telephone Number: 913-815-7154

Manual Signature of Official Responsible for Form:

Date: July 3, 2017

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 03 2017

2017 JUL 3 2 30 PM
RECEIVED

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BYX EXCHANGE, INC.
 COMMENCING ON JUNE 27, 2017

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
GMFL	Trust	Investment Company Units	Guggenheim Multi-Factor Large Cap Index	U.S.	Broad
RNDM	Trust	Investment Company Units	Nasdaq Riskalyze Developed International Equity Select Index	World	Broad
RNEM	Trust	Investment Company Units	Nasdaq Riskalyze Emerging Markets Equity Select Index	World	Broad
RNLC	Trust	Investment Company Units	Nasdaq Riskalyze Large Cap US Equity Select Index	U.S.	Broad
RNMC	Trust	Investment Company Units	Nasdaq Riskalyze Mid Cap US Equity Select Index	U.S.	Broad
RNSC	Trust	Investment Company Units	Nasdaq Riskalyze Small Cap US Equity Select Index	U.S.	Broad
RNDV	Trust	Investment Company Units	Nasdaq Riskalyze US Equity Dividend Select Index	U.S.	Broad
FCAL	Trust	Investment Company Units	Debt Securities	U.S.	Broad
VSMV	Trust	Investment Company Units	Nasdaq Victory US Multi-Factor Minimum Volatility Index	U.S.	Broad



SEC
Mail Processing
Section
JUL 05 2017
Washington DC
412

July 3, 2017

Ms. Claudette Ransom
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – Bats BYX Exchange, Inc.

Ms. Ransom,

On behalf of Bats BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding nine (9) derivative securities products that commenced trading on Bats BYX Exchange on June 27, 2017. Please contact me if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
SVP, Associate General Counsel
913.815.7154

RECEIVED
2017 JUL -5 PM 2:32
SEC / TM