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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

SEC  
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Section

Part I

Initial Listing Report

MAY 08 2017

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Bats BYX Exchange, Inc.

Washington DC  
412

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See Attached.

3. Class of New Derivative Securities Product  
See Attached.



4. Name of Underlying Instrument:  
See Attached.

17002848

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See Attached.

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6. Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.

7. Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.

8. Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC.

9. Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Anders Franzon

Title:  
SVP, Associate General Counsel

Telephone Number:  
913-815-7154

Manual Signature of Official Responsible for Form:

Date:  
May 5, 2017

Act  
Section 19b-4  
Rule 19b-4(e)  
Public Availability: MAY 08 2017

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BYX EXCHANGE, INC.  
 COMMENCING ON APRIL 28, 2017

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
FLQL	Trust	Investment Company Units	U.S. Large Cap Underlying Index	U.S.	Broad
FLQM	Trust	Investment Company Units	U.S. Mid Cap Underlying Index	U.S.	Broad
FLQS	Trust	Investment Company Units	U.S. Small Cap Underlying Index	U.S.	Broad
USOI	Corp	Index Linked Notes	Credit Suisse Nasdaq WTO Crude Oil FLOWS 106 Index	Global	Broad



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May 5, 2017

Ms. Claudette Ransom  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

Securities and Exchange  
MAY 08 2017  
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RE: Form 19b-4(e) – Bats BYX Exchange, Inc.

Ms. Ransom,

On behalf of Bats BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding four (4) derivative securities products that commenced trading on Bats BYX Exchange on April 28, 2017. Please contact me if you have any questions in connection with this matter.

Sincerely,

Anders Franzon  
SVP, Associate General Counsel  
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAY 08 2017