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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

091-2248087

OMB APPROVAL	
OMB Number:	3235-0504
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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Bats EDGX Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See Attached.
- Class of New Derivative Securities Product:
See Attached.
- Name of Underlying Instrument:
See Attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See Attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See Attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument
Trades: See Attached.
- Settlement Methodology of New Derivative Securities Product:
Trades Locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not Applicable.



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Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: SVP, Associate General Counsel

Telephone Number: 913-815-7154

Manual Signature of Official Responsible for Form:

Date: May 15, 2017

Act Securities Exchange Act of 1934
Section 19b-4
Rule 19b-4(e)

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EDGX EXCHANGE, INC.
COMMENCING ON MAY 11, 2017

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
LVIN	Trust	Investment Company Units	Hartford Multifactor Low Volatility International Equity Index	Global	Broad
LVUS	Trust	Investment Company Units	Hartford Multifactor Low Volatility US Equity Index	U.S.	Broad
MEXX	Trust	Investment Company Units	MSCI Mexico IMI 25/50 Index	World	Broad
UTSL	Trust	Investment Company Units	S&P Utilities Select Sector Index	U.S.	Broad
DUSL	Trust	Investment Company Units	S&P Industrial Select Sector Index	U.S.	Broad
TPOR	Trust	Investment Company Units	Dow Jones Transportation Average Index	U.S.	Broad
DFEN	Trust	Investment Company Units	Dow Jones US Select Aerospace & Defense Index	U.S.	Broad
CACG	Trust	Investment Company Units	Russell 3000 Growth Index	U.S.	Broad



SEC
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MAY 16 2017

Washington DC
412

May 15, 2017

Ms. Claudette Ransom
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

Securities and Exchange
MAY 16 2017
RECEIVED

RE: Form 19b-4(e) – Bats EDGX Exchange, Inc.

Ms. Ransom,

On behalf of Bats EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding eight (8) derivative securities products that commenced trading on Bats EDGX Exchange on May 11, 2017. Please contact me if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
SVP, Associate General Counsel
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAY 16 2017