

WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative, or criminal action.

INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS

APPLICATION
  AMENDMENT

1. State the name of the applicant: Nasdaq PHLX LLC - Formerly known as NASDAQ PHLX LLC
2. Provide the applicant's primary street address (Do not use a P.O. Box):  
FMC Tower, 2929 Walnut Street, Philadelphia, PA 19104
3. Provide the applicant's mailing address (if different):  
Office of General Counsel  
805 King Farm Blvd., Rockville, MD 20850
4. Provide the applicant's business telephone and facsimile number:  
Phone: 301-978-8400 / Fax: 301-978-8472  
(Telephone)(Facsimile)
5. Provide the name, title, and telephone number of a contact employee:  
John Yetter      Vice President, Office of General Counsel      301-978-8497  
(Name)(Title)(Telephone Number)
6. Provide the name and address of counsel for the applicant:  
Edward S. Knight  
805 King Farm Blvd.  
Rockville, MD 20850
7. Provide the date applicant's fiscal year ends: December 31
8. Indicate legal status of applicant:     Corporation     Sole Proprietorship     Partnership  
 Limited Liability Company     Other (specify): \_\_\_\_\_  
 If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):  
 (a) Date (MM/DD/YY): 1/13/1972      (b) State/Country of formation: Delaware

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(c) Statute under which applicant was organized: \_\_\_\_\_

**EXECUTION:** The applicant consents that service of any civil action brought by , or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of , and with the authority of , said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete.

Date: 10/19/17 (MM/DD/YY)      Nasdaq PHLX LLC (Name of applicant)

By: [Signature] (Signature)      VP and Deputy General Counsel (Printed Name and Title)

Subscribed and sworn before me this 18<sup>th</sup> day of October, 2017 by Colleen M. Steele (Notary Public)

My Commission expires \_\_\_\_\_ County of \_\_\_\_\_ State of \_\_\_\_\_

This page must always be completed in full with original, manual signature  
Affix notary stamp or seal where applicable.

COLLEEN MARIE STEELE  
NOTARY PUBLIC STATE OF MARYLAND  
County of Montgomery  
My Commission Expires January 29, 2020

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805 KING FARM BLVD.  
ROCKVILLE, MD 20850

October 18, 2017

Via Overnight Mail

U.S. Securities and Exchange Commission  
Division of Trading and Markets  
Office of Market Supervision  
100 F Street, N.E.  
Washington, DC 20549

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Re: Form 1 – Amendment

Dear Sir or Madam:

Pursuant to Rule 6a-2 under the Securities Exchange Act of 1934, Nasdaq PHLX LLC is submitting this amendment to Form 1 in connection with a name change. If you have any questions, please call me at 1-215-496-5692.

Sincerely,

Angela S. Dunn  
Principal Associate General Counsel

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