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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

2017 MAR 24 PM 5: 10

Washington, D.C. 20549

SEC / TM

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Mail Processing
Section

Part I

Initial Listing Report

MAR 24 2017

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

Chicago Stock Exchange, Inc.

Washington DC
412

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Trust

3. Class of New Derivative Securities Product:

Ownership of the Trust



4. Name of Underlying Instrument:

Active Alts Contrarian ETF

17002396

5. Underlying instrument is an index, state whether it is narrow-based or broad-based

Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

SQZZ

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Various

8. Settlement Methodology of New Derivative Securities Product:

See Prospectus

9. Position Limits of New Derivative Securities Product (if applicable):

See Prospectus

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Michael J. Cardin

Title:

Director
Member Regulation Department

Telephone Number:

312-663-2204

Manual Signature of Official Responsible for Form:

Date: March 23, 2017

Act: Securities Exchange Act of 1934
Section: 19b-4
Rule: 19b-4(e)
Public Availability: MAR 24 2017



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March 23, 2017

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MAR 24 2017

Washington DC
412

By UPS

Claudette Ransom
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

SQZZ	Active Alts Contrarian ETF
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If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Michael J. Cardin
Director
Member Regulation Department

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAR 24 2017