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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION

2017 MAR 24 PM 5:08

Washington, D.C. 20549

SEC / TM

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

SEC  
Mail Processing  
Section

Part I

Initial Listing Report

MAR 24 2017

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

Chicago Stock Exchange, Inc.

Washington DC  
412

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Trust

3. Class of New Derivative Securities Product:

Ownership of the Trust



4. Name of Underlying Instrument:

Hartford Quality Bond ETF

17002393

5. ~~Characterization of Underlying Instrument~~  
Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

HQBD

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Various

8. Settlement Methodology of New Derivative Securities Product:

See Prospectus

9. Position Limits of New Derivative Securities Product (if applicable):

See Prospectus

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Michael J. Cardin

Title:

Director  
Member Regulation Department

Telephone Number:

312-663-2204

Manual Signature of Official Responsible for Form:

Date: March 22, 2017

Act: Securities Exchange Act of 1934  
Section: 19b-4  
Rule: 19b-4(e)  
Public Availability: MAR 24 2017



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412

March 22, 2017

By UPS

Claudette Ransom  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street NE – Stop 7010  
Washington, DC 20549

**Re: Form 19b-4(e) for exchange traded product**

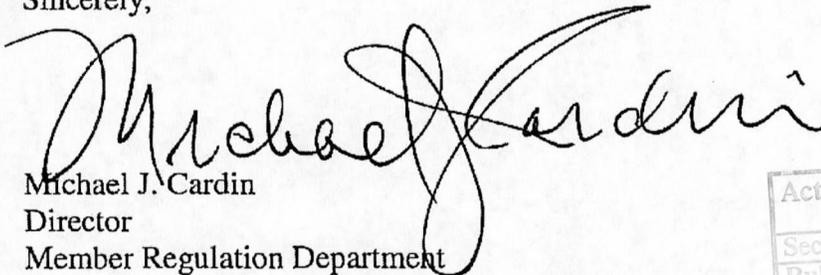
Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

HQBD	Hartford Quality Bond ETF
HCOR	Hartford Corporate Bond ETF

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,



Michael J. Cardin  
Director  
Member Regulation Department

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAR 24 2017