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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

FEB 21 2017

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: **The NASDAQ Stock Market LLC**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company**

3. Class of New Derivative Securities Product: **Exchange Traded Fund**

4. Name of Underlying Instrument: **Actively managed portfolio of primarily fixed income securities**

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **N/A**

6. Ticker Symbol(s) of New Derivative Securities Product: **FIXD**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: **New York, TRACE, Stuttgart, Dusseldorf, EUROMTF, Frankfurt**

8. Settlement Methodology of New Derivatives Product: **Regular way trades settle on T + 3 (cash settled)**

9. Position Limits of New Derivative Securities Product (if applicable): **N/A**



Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: **William Slattery**

Title: **Vice President**

Telephone Number: **1-301-978-8088**

Manual Signature of Official Responsible for Form:

*William Slattery*

Date: **February 15, 2017**

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	FEB 21 2017