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OMB Number:	3235-0504
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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

**FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

**Part I**

**Initial Listing Report**

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- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**Chicago Stock Exchange, Inc.**
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Trust**
- Class of New Derivative Securities Product:  
**Ownership of the Trust**
- Name of Underlying Instrument:  
**QuantX Risk Managed Multi-Asset Income ETF**
- If Underlying Instrument is an Index State Whether it is Broad-Based or Narrow-Based:  
**Narrow-Based**
- Ticker Symbol(s) of New Derivative Securities Product:  
**QXMI**
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**Various**
- Settlement Methodology of New Derivative Securities Product:  
**See Prospectus**
- Position Limits of New Derivative Securities Product (if applicable):  
**See Prospectus**



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**Part II**

**Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Michael J. Cardin**

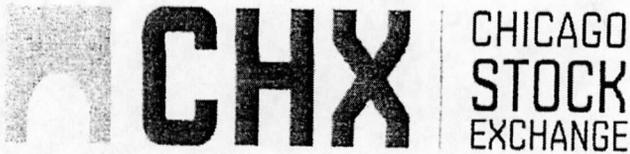
Title: **Director**  
**Member Regulation Department**

Telephone Number:  
**312-663-2204**

Manual Signature of Official Responsible for Form:  
*Michael J. Cardin* Act \_\_\_\_\_ Securities Exchange Act of 1934

Date: **January 27, 2017**

Rule **19b-4(e)**  
Public Availability: **JAN 31 2017**



January 27, 2017

**By UPS**

Claudette Ransom  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street NE – Stop 7010  
Washington, DC 20549

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**Re: Form 19b-4(e) for exchange traded product**

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

QXGG	QuantX Risk Managed Growth ETF
QXMI	QuantX Risk Managed Multi-Asset Income ETF
QXRR	QuantX Risk Managed Real Return ETF
QXTR	QuantX Risk Managed Multi-Asset Total Return ETF
XUSA	QuantX Dynamic Beta US Equity ETF

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,



Michael J. Cardin  
Director  
Member Regulation Department

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JAN 31 2017