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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

SEC

Part I

Initial Listing Report

Mail Processing  
Section

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Bats BYX Exchange, Inc.

JAN 12 2017

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See Attached.

Washington, DC  
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3. Class of New Derivative Securities Product:  
See Attached.

4. Name of Underlying Instrument:  
See Attached.

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See Attached.

6. Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.

7. Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.

8. Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC.



9. Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.

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Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: SVP, Associate General Counsel

Telephone Number: 913-815-7154

Manual Signature of Official Responsible for Form:

Date: January 11, 2017

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JAN 12 2017

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BYX EXCHANGE, INC.  
 COMMENCING ON JANUARY 6, 2017

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
DBSE	Trust	Investment Company Units	MSCI Southern Europe US Dollar Hedged Index	Global	Broad
DBSP	Trust	Investment Company Units	MSCI Spain 25/50 US Dollar Hedged Index	Global	Broad
DBIT	Trust	Investment Company Units	MSCI Italy 25/50 US Dollar Hedged Index	Global	Broad
DBES	Trust	Investment Company Units	MSCI EAFE Small Cap US Dollar Hedged Index	Global	Broad
JPNH	Trust	Investment Company Units	JPX-Nikkei 400 Net Total Return USD Hedged Index	Global	Broad
HDEE	Trust	Investment Company Units	MSCI Emerging Markets High Dividend Yield US Dollar Hedged Index	Global	Broad
HDEZ	Trust	Investment Company Units	MSCI EMU High Dividend Yield US Dollar Hedged Index	Global	Broad
DBRE	Trust	Investment Company Units	Dow Jones Global ex-U.S. Select Real Estate Securities Total Return Net Index	Global	Broad
JHMD	Trust	Investment Company Units	John Hancock Dimensional Developed International Index	Global	Broad
BMLP	Corp	Index Linked Notes	DWA MLP Select Index	U.S.	Broad
WBIY	Trust	Investment Company Units	Solactive Power Factor High Dividend Index	U.S.	Broad

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BYX EXCHANGE, INC.  
 COMMENCING ON JANUARY 6, 2017

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
OUSM	Trust	Investment Company Units	FTSE USA Small Cap Qual/Vol/Yield 3% Capped Factor Index	U.S.	Broad
INFR	Trust	Investment Company Units	RARE Global Infrastructure Index	Global	Broad
UTLZ	Trust	Investment Company Units	Utilities Select Sector Index	U.S.	Broad
SPLZ	Trust	Investment Company Units	Utilities Select Sector Index	U.S.	Broad
WTIU	Corp	Index Linked Notes	Bloomberg WTI Crude Oil Excess Return Sub-Index	Global	Broad
WTID	Corp	Index Linked Notes	Bloomberg WTI Crude Oil Excess Return Sub-Index	Global	Broad



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January 11, 2017

Ms. Claudette Ransom  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

RE: Form 19b-4(e) - Bats BYX Exchange, Inc.

Ms. Ransom,

On behalf of Bats BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding seventeen (17) derivative securities products that commenced trading on Bats BYX Exchange on January 6, 2017. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon  
SVP, Associate General Counsel  
913.815.7154

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