

091-28014

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2017 FEB -6 PM 2:07
 SEC / TM
 UNITED STATES
 SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549
 FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
 NYSE Arca, Inc. (trading pursuant to unlisted trading privileges)

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2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
 Open-end Management Investment Company

FEB 06 2017

3. Class of New Derivative Securities Product:
 Investment Company Units

Washington DC
 412

4. Name of Underlying Instrument:
 QuantX Dynamic Beta US Equity Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
 Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:
 XUSA



17002148

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
 NYSE, NASDAQ

8. Settlement Methodology of New Derivative Securities Product:
 Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):
 Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
 Martha Redding

Title:
 Associate General Counsel and Assistant Secretary

Telephone Number:
 (212) 656-2938

Manual Signature of Official Responsible for Form:

February 3, 2017

SEC 2449 (1/99)

| | |
|----------------------|---------------------------------|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | FEB 06 2017 |



Via Overnight Mail

February 3, 2017

Ms. Claudette Ransom
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re: 19b-4(e) – Transmittal

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

QuantX Dynamic Beta US Equity ETF (XUSA)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,


Enclosures

SEC
Mail Processing
Section

FEB 06 2017

Washington DC
412

Martha Redding
Associate General Counsel
Assistant Secretary

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