

091-219998

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	July 31, 2016
Estimated average burden hours per response. . . .	3.60

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

SEC
Mail Processing
Section
FEB 07 2017

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Bats EDGA Exchange, Inc. Washington DC
412
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See Attached.
- Class of New Derivative Securities Product:
See Attached.
- Name of Underlying Instrument:
See Attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See Attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See Attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument
Trades: See Attached.
- Settlement Methodology of New Derivative Securities Product:
Trades Locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not Applicable.

RECEIVED
2017 FEB -7 PM 2:08
SEC / TM

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon



17002133

Title: SVP, Associate General Counsel

Telephone Number: 913-815-7154

Manual Signature of Official Responsible for Form:

Date: February 6, 2017

Securities Exchange Act of 1934	
Section:	19b-4
Rule:	19b-4(e)
Public Availability:	FEB 07 2017

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EDGA EXCHANGE, INC.
 COMMENCING ON JANUARY 31, 2017

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
CLTL	Trust	Investment Company Units	ICE U.S. Treasury Short Bond Index	U.S.	Broad
CUMB	Trust	Investment Company Units	Debt Securities	U.S.	Broad
DUSA	Trust	Investment Company Units	Equity securities issued by U.S. companies	U.S.	Broad
DFNL	Trust	Investment Company Units	Securities issued by companies principally engaged in the financial services sector	U.S.	Broad
DWLD	Trust	Investment Company Units	Common Stock issued by U.S. and foreign companies	World	Broad
JUNE	Trust	Investment Company Units	Dhandho Junoon Index	U.S.	Broad
FLIO	Trust	Investment Company Units	Equity securities in developed, developing and frontier markets outside of the U.S.	World	Broad



SEC
RECEIVED Mail Processing
Section
2017 FEB -7 PM 2: 07 FEB 07 2017
SEC / TM Washington DC
412

February 6, 2017

Ms. Claudette Ransom
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - Bats EDGA Exchange, Inc.

Ms. Ransom,

On behalf of Bats EDGA Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding seven (7) derivative securities products that commenced trading on Bats EDGA Exchange on January 31, 2017. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
SVP, Associate General Counsel
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	FEB 07 2017