

091-21950fn

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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
NYSE Arca, Inc. (trading pursuant to unlisted trading privileges)

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2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open-end Management Investment Company

JAN 04 2017

3. Class of New Derivative Securities Product:
Investment Company Units

Washington DC
412

4. Name of Underlying Instrument:
S&P MidCap 400 Capped Utilities Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:
XU



17002123

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
NYSE, NASDAQ

8. Settlement Methodology of New Derivative Securities Product:
Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Martha Redding

Title:

Associate General Counsel and Assistant Secretary

Telephone Number:

(212) 656-2938

Manual Signature of Official Responsible for Form:

January 3, 2017

SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JAN 04 2017



Martha Redding
Associate General Counsel
Assistant Secretary

New York Stock Exchange
11 Wall Street
New York, NY 10005
T + 1 212 656 2938
F + 1 212 656 8101
Martha.Redding@theice.com

Via Overnight Mail

January 3, 2017

Ms. Claudette Ransom
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

SEC
Mail Processing
Section
JAN 04 2017
Washington DC
412

Re: 19b-4(e) – Transmittal

Dear Ms. Ransom:

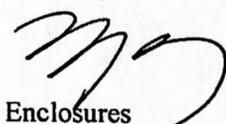
Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

RECEIVED
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- Cambria Sovereign High Yield Bond ETF (SOVB)**
- Cambria Foreign Shareholder Yield ETF (FYLD)**
- Cambria Global Asset Allocation ETF (GAA)**
- Cambria Value and Momentum ETF (VAMO)**
- S&P 500 Dividend Aristocrats (NOBL)**
- MSCI EAFE Dividend Growers (EFAD)**
- MSCI Europe Dividend Growers (EUDV)**
- Elkhorn S&P MidCap Energy Portfolio (XE)**
- Elkhorn S&P MidCap Consumer Discretionary Portfolio (XD)**
- Elkhorn S&P MidCap Financials Portfolio (XF)**
- Elkhorn S&P MidCap Information Technology Portfolio (XK)**
- Elkhorn S&P MidCap Materials Portfolio (XM)**
- Elkhorn S&P MidCap Health Care Portfolio (XH)**
- Elkhorn S&P MidCap Industrials Portfolio (XI)**
- Elkhorn S&P MidCap Consumer Staples Portfolio (XS)**
- Elkhorn S&P MidCap Utilities Portfolio (XU)**
- O'Shares FTSE Russell Small Cap Quality Dividend ETF (OUSM)**
- Legg Mason Global Infrastructure ETF (INFR)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,


Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JAN 04 2017