

091-21944 fm

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**NYSE Arca, Inc. (trading pursuant to unlisted trading privileges)**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open-end Management Investment Company**

3. Class of New Derivative Securities Product:  
**Investment Company Units**

4. Name of Underlying Instrument:  
**S&P MidCap 400 Capped Information Technology Index**

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Narrow-Based**

6. Ticker Symbol(s) of New Derivative Securities Product:  
**XK**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**NYSE, NASDAQ**

8. Settlement Methodology of New Derivative Securities Product:  
**Regular way trades settle on T+3/Book entry only held in DTC.**

9. Position Limits of New Derivative Securities Product (if applicable):  
**Not applicable.**

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Martha Redding**

Title:  
**Associate General Counsel and Assistant Secretary**

Telephone Number:  
**(212) 656-2938**

Manual Signature of Official Responsible for Form:

**January 3, 2017**  
*(Handwritten signature)*

SEC 2449 (1/99)

SEC  
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Section  
JAN 04 2017  
Washington DC  
412



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Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JAN 04 2017



Martha Redding  
Associate General Counsel  
Assistant Secretary

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11 Wall Street  
New York, NY 10005  
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Martha.Redding@theice.com

Via Overnight Mail

January 3, 2017

Ms. Claudette Ransom  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 6628  
Washington, DC 20549

SEC  
Mail Processing  
Section  
JAN 04 2017  
Washington DC  
412

Re: 19b-4(e) – Transmittal

Dear Ms. Ransom:

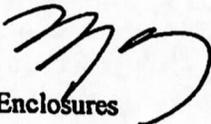
Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

RECEIVED  
2016 JAN 10 PM 3:30  
SEC / TR

- Cambria Sovereign High Yield Bond ETF (SOVB)
- Cambria Foreign Shareholder Yield ETF (FYLD)
- Cambria Global Asset Allocation ETF (GAA)
- Cambria Value and Momentum ETF (VAMO)
- S&P 500 Dividend Aristocrats (NOBL)
- MSCI EAFE Dividend Growers (EFAD)
- MSCI Europe Dividend Growers (EUDV)
- Elkhorn S&P MidCap Energy Portfolio (XE)
- Elkhorn S&P MidCap Consumer Discretionary Portfolio (XD)
- Elkhorn S&P MidCap Financials Portfolio (XF)
- Elkhorn S&P MidCap Information Technology Portfolio (XK)
- Elkhorn S&P MidCap Materials Portfolio (XM)
- Elkhorn S&P MidCap Health Care Portfolio (XH)
- Elkhorn S&P MidCap Industrials Portfolio (XI)
- Elkhorn S&P MidCap Consumer Staples Portfolio (XS)
- Elkhorn S&P MidCap Utilities Portfolio (XU)
- O'Shares FTSE Russell Small Cap Quality Dividend ETF (OUSM)
- Legg Mason Global Infrastructure ETF (INFR)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

  
Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JAN 04 2017