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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

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Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Bats BZX Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See Attached.
- Class of New Derivative Securities Product:
See Attached.
- Name of Underlying Instrument:
See Attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See Attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See Attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument
Trades: See Attached.
- Settlement Methodology of New Derivative Securities Product:
Trades Locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not Applicable.

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Part II Execution

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The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Anders Franzon

Title:
SVP, Associate General Counsel

Telephone Number:
913-815-7154

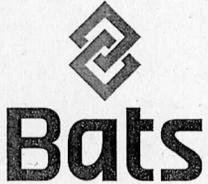
Manual Signature of Official Responsible for Form:

Date:
January 6, 2017

Act	Securities Exchange Act of 1934
Section	19b-4
Form	19b-4(e)
Public Availability:	JAN 09 2017

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BZX EXCHANGE, INC.
 COMMENCING ON DECEMBER 30, 2016

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
XD	Trust	Investment Company Units	Elkhorn S&P MidCap Consumer Discretionary Portfolio	U.S.	Broad
XS	Trust	Investment Company Units	Elkhorn S&P MidCap Consumer Staples Portfolio	U.S.	Broad
XE	Trust	Investment Company Units	Elkhorn S&P MidCap Energy Portfolio	U.S.	Broad
XF	Trust	Investment Company Units	Elkhorn S&P MidCap Financials Portfolio	U.S.	Broad
XH	Trust	Investment Company Units	Elkhorn S&P MidCap Health Care Portfolio	U.S.	Broad
XI	Trust	Investment Company Units	Elkhorn S&P MidCap Industrials Portfolio	U.S.	Broad
XK	Trust	Investment Company Units	Elkhorn S&P MidCap Information Technology Portfolio	U.S.	Broad
XM	Trust	Investment Company Units	Elkhorn S&P MidCap Materials Portfolio	U.S.	Broad
XU	Trust	Investment Company Units	Elkhorn S&P MidCap Utilities Portfolio	U.S.	Broad



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January 6, 2017

Ms. Claudette Ransom
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

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Washington DC
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RE: Form 19b-4(e) - Bats BZX Exchange, Inc.

Ms. Ransom,

On behalf of Bats BZX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding nine (9) derivative securities product that commenced trading on Bats BZX Exchange on December 30, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
SVP, Associate General Counsel
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
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