

SEC  
Mail Processing  
Section

091-218164

For Internal Use  
Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

DEC 19 2016

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	August 31, 2010
Estimated average burden hours per response.....	3.60

UNITED STATES Washington DC  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)**
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open End Management Investment Company**
- Class of New Derivative Securities Product:  
**Exchange Traded Fund**
- Name of Underlying Instrument:  
**Dorsey Wright Emerging Markets Technical Leaders Index**
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Broad-based**
- Ticker Symbol(s) of New Derivative Securities Product:  
**PIE**
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**Listed on: Bangkok, Bovespa, Bursa Malaysia, Cairo, Hong Kong, Indonesia, Johannesburg Stock Exchange, Kuala Lumpur, Mexican Stock Exchange, NASDAQ Stock Market LLC, NYSE, Philippine Stock Exchange, Taiwan Stock Exchange**
- Position Limits of New Derivative Securities Product (if applicable):  
**Regular way trades settle on T + 3 (cash settled)**
- Position Limits of New Derivative Securities Product (if applicable):  
**N/A**



Part II Execution 16020184

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Colleen Steele**

Title:  
**Assistant Corporate Secretary**

Telephone Number:  
**301-978-8736**

Manual Signature of Official Responsible for Form:

Date: **December 12, 2016**

Securities and Exchange

DEC 20 2016

RECEIVED

SEC 2449 (6-01)

File No.	16020184
Date	12/19/2016
Public Availability:	DEC 19 2016