For Internal Use Only Sec File No. 9-

Submit 1 Original and 9 Copies OMB APPROVAL OMB Number: 3235-0504 Expires: July 31, 2016 Estimated average burden hours per response.....3.60

6178

JAN

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

8

SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLET	ING FORMMall Processing
	Section
Part I Initial Listing Report	DEC 15 2016
1. Name of Self-Regulatory Organization Listing New Derivative Securities Pro Bats EDGX Exchange, Inc.	duct: Washington DC
2. Type of Issuer of New Derivative Securities Product (<u>e.g.</u> , clearinghouse, bro See Attached.	
3. Class of New Derivative Securities Product: See Attached.	
4. Name of Underlying Instrument: See Attached.	2
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Nar. See Attached.	row-Based:
6. Ticker Symbol(s) of New Derivative Securities Product: See Attached.	EC IS
7. Market or Markets Upon Which Securities Comprising Underlying Instrumer	$=$ $=$ \square
Trades: See Attached.8.Settlement Methodology of New Derivative Securities Product:Trades Locked in at Exchange and settled at NSCC.	<u>~~ Ņ</u>
9. Position Limits of New Derivative Securities Product (if applicable):Not Applicable.	
Part II Execution	
The undersigned répresents that the governing body of the above-referenced s approved, or has duly delegated its approval to the undersigned for, the listin derivative securities product according to its relevant trading rules, procedure standards.	g and trading of the above-referenced new
Name of Official Responsible for Form: Anders Franzon	
Title: VP, Associate General Counsel	16020156
Telephone Number: 913-815-7154	
Manual Signature of Official Responsible for Form:	1 ci 1934
Date: December 14, 2016	19b-4 19b-2(c)
SEC 2449 (6-01) Availebility:	BEC 1 5 2313

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EDGX EXCHANGE, INC. COMMENCING ON DECEMBER 9, 2016

•						
[(7) Market(s) upon	
	<u>(6)</u>	(2) Type of			Which Securities	(5) Broad
ر	Ticker	Issuer of		(4) Name of Underlying	Comprising Underlying	<u>or</u>
:	<u>Symbol</u>	<u>NDSP</u>	(3) Class of NDSP	Instrument	Instrument Trades	<u>Narrow</u>
			Investment Company			
	WEAR	Trust	Units	EQM WearablesTM Index	Global	Broad
			Investment Company			
1. L	BLHY	Trust	Units	Credit Investments	Global	Broad
	in des		Investment Company	Solactive USD High Yield		
	HYLB	Trust	Units	Corporates Total Market Index	Global	Broad
	1. A. 1.	ter de la companya d	a the second where the second	The second s	د معقد در به برای می مکرد آرایی می کرد. ایرو کرده	



SEC Mail Processing Section DEC 15 2016

Washington DC 412

2016 DEC

(I)

PH 2:

UEIVE

SEC

December 14, 2016

Ms. Claudette Ransom Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) – Bats EDGX Exchange, Inc.

Ms. Ransom,

On behalf of Bats EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on Bats EDGX Exchange on December 9, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon SVP, Associate General Counsel 913.815.7154

Act	Securities Engine Act of	1934
Section	19 b -7	
Rule	South Strategy and the second	
Public Availability:	DEC 1 5 2016	•
		ا ^{لد.}

Bats Global Markets, Inc. | 8050 Marshall Drive, Suite 120 | Lenexa 66214