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2016 DEC 14 PM 3: 08 **UNITED STATES** SECURITIES AND EXCHANGE COMMISSIONDC Washington, D.C. 20549 412

SEC / TM

OMB APPROVAL

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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company
- 3. Class of New Derivative Securities Product:

Exchange Traded Fund

4. Name of Underlying Instrument:

Actively Managed Portfolio of Securities

- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Not Applicable
- 6. Ticker Symbol(s) of New Derivative Securities Product: **BLHY**
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Luxembourg, NASDAQ Stock Market LLC, NYSE, OTC
- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A



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Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Colleen Steele

Title:

Assistant Corporate Secretary

Telephone Number:

301-978-8736

Manual Signature of Official Responsible for

Date: December 12, 2016

SEC 2449 (6-01)

Act Securities Exchange Act of 1934

Section 196-4 Rule 19b-4(e

Public

Availability:

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