

091-216954

For Internal Use Only  
Sec File No. 9-  
**RECEIVED**

Submit 1 Original  
and 9 Copies

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	August 31, 2019
Estimated average burden hours per response. . . . .	3.60

2016 OCT 12 PM 2:55

SEC / TM

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

**FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

SEC  
Mail Processing  
Section

**Part I Initial Listing Report**

OCT 11 2016

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
National Stock Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, Trust, etc.)  
Trust

Washington DC  
412

3. Class of New Derivative Securities Product:  
Equity

4. Name of Underlying Instrument:  
TrimTabs Float Shrink ETF

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:  
TTAC

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchange

8. Settlement Methodology of New Derivative Securities Product:  
Cash



9. Position Limits of New Derivative Securities Product (if applicable):

16020005

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
James Buckley

Title: Chief Regulatory Officer

Telephone Number:  
201-499-3698

Manual Signature of Official Responsible for Form:

Date: *James Buckley*  
October 7, 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT 11 2016



National Stock Exchange

SEC  
Mail Processing  
Section

OCT 11 2016

Washington DC  
412

James G. Buckley  
Chief Regulatory Officer  
t: 201.499.3698  
f: 201.499.0727  
[james.buckley@nsx.com](mailto:james.buckley@nsx.com)

VIA FEDEX

October 7, 2016

Ms. Claudette Ransom  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street N.E.  
Washington, DC 20549

Re: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

- 1) FINQ
- 2) IMED
- 3) SMD
- 4) SMMV
- 5) GBIL
- 6) SHNY
- 7) DULL
- 8) BOTZ
- 9) FINX
- 10) SNSR
- 11) JPHY
- 12) BSJO
- 13) BSCQ
- 14) JPHF
- 15) NUAG
- 16) FDVV

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT 11 2016

SEC / TM

2016 OCT 12 PM 2:41

RECEIVED

Ms. Claudette Ransom

October 7, 2016

Page 2

- 17) FDRR
- 18) FDLO
- 19) FDMO
- 20) FVAL
- 21) FQAL
- 22) IBDR
- 23) ITML
- 24) ITMS
- 25) FTXO
- 26) FTXG
- 27) FTXN
- 28) FTXH
- 29) FTXD
- 30) FTXL
- 31) FTXR
- 32) DWAC
- 33) RCOM
- 34) PFV
- 35) USMR
- 36) CWS
- 37) PSC
- 38) FLLV
- 39) FCEF
- 40) MCEF
- 41) TTAC
- 42) OILK
- 43) KOR
- 44) VRIG

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours,



James G. Buckley  
Chief Regulatory Officer

Enclosures