

091-21258 fr

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OMB Number:	3235-0504
Expires:	August 31, 2013
Estimated average burden hours per response.....	3.60

2016 SEP -6 AM 2:37

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

SEC

Mail Processing
Section

Part I

Initial Listing Report

SEP 06 2016

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
The NASDAQ Stock Market LLC

Washington DC
412

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open End Management Investment Company

3. Class of New Derivative Securities Product:
Exchange Traded Fund

4. Name of Underlying Instrument:
Nasdaq Healthcare Innovators Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Narrow Based

6. Ticker Symbol(s) of New Derivative Securities Product:
BTEC

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Nasdaq, NYSE, NYSE MKT

8. Settlement Methodology of New Derivative Securities Product:
Regular way trades settle T+3 (cash settled)



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9. Position Limits of New Derivative Securities Product (if applicable):
N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
William Slattery

Title:
Vice President, Listing Qualifications

Telephone Number:
(301) 978-8088

Manual Signature of Official Responsible for Form:

William Slattery

Date:
August 29, 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

Public
Availability: **SEP 06 2016**