

091-20987m

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	July 31, 2016
Estimated average burden hours per response.	... 3.60

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
SEC  
Main Processing  
Section

FORM 19b-4(e) JUL 1 12 2016

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934  
Washington DC  
406

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

**Part I** Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Equity
- Name of Underlying Instrument:  
iShares MSCI EM ESG Select ETF
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-Based
- Ticker Symbol(s) of New Derivative Securities Product:  
ESGE
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchange
- Settlement Methodology of New Derivative Securities Product:  
Cash
- Position Limits of New Derivative Securities Product (if applicable):

RECEIVED  
2016 JUL 1 PM 3:30  
SEC



16018955

**Part II** Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
James Buckley

Title:  
Chief Regulatory Officer

Telephone Number:  
201-499-3698

Manual Signature of Official Responsible for Form:

Date: *James A. Buckley*  
July 8, 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 1 1 2016



National Stock Exchange

James G. Buckley  
Chief Regulatory Officer  
t: 201.499.3698  
f: 201.499.0727  
[james.buckley@nsx.com](mailto:james.buckley@nsx.com)

VIA FED EX

July 8, 2016

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street N.E.  
Washington, DC 20549

SEC  
Mail Processing  
Section

JUL 11 2016

Washington DC  
412

Re: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

- 1) FLQH
- 2) FLQE
- 3) FLQD
- 4) FLQG
- 5) RFDA
- 6) RFFC
- 7) SPDN
- 8) FITS
- 9) OLD
- 10) SLIM
- 11) ORG
- 12) BEMO
- 13) EMIH
- 14) HYIH
- 15) IGIH
- 16) ESGS
- 17) ESGN
- 18) ESGW
- 19) RFEM

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 11 2016

Ms. Gail Jackson  
July 8, 2016  
Page 2

- 20) CNYA
- 21) RFUN
- 22) RFCI
- 23) HYDD
- 24) FALN
- 25) HYPE
- 26) OILU
- 27) OILD
- 28) TALL
- 29) ESGD
- 30) ESGE
- 31) XTH
- 32) XWEB
- 33) DESC
- 34) OEW

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours,



James G. Buckley  
Chief Regulatory Officer

Enclosures