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PUBLIC COMMENT JG

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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ANNUAL AUDITED REPORT
FORM X-17A-5
PART III

Washington DC

SEC FILE NUMBER
6-64041

FACING PAGE 412

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 01/01/2015 AND ENDING 12/31/2015
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: ASTON CAPITAL ADVISORS, LLC

OFFICIAL USE ONLY
FIRM I.D. NO.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

13015 WASHINGTON BOULEVARD

(No. and Street)

LOS ANGELES

CA

90066

(City)

(State)

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

DAVID JOSHUA STAUB, 310.929.5269

(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

THAYHER O'NEAL COMPANY, LLC

(Name - If individual, state last, first, middle name)

101 PARKLANE BOULEVARD, SUITE 201, SUGAR LAND, TX 77478

(Address)

(City)

(State)

(Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

SEC 1410 (06-02)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

OATH OR AFFIRMATION

I, DAVID JOSHUA STAUB, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of ASTON CAPITAL ADVISORS, LLC, as of DECEMBER 31, 20 15, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

[Handwritten Signature]

Signature

MANAGER, MEMBER ASTON CAPITAL ADVISORS, LLC

Title

See attached

Notary Public

This report ** contains (check all applicable boxes):

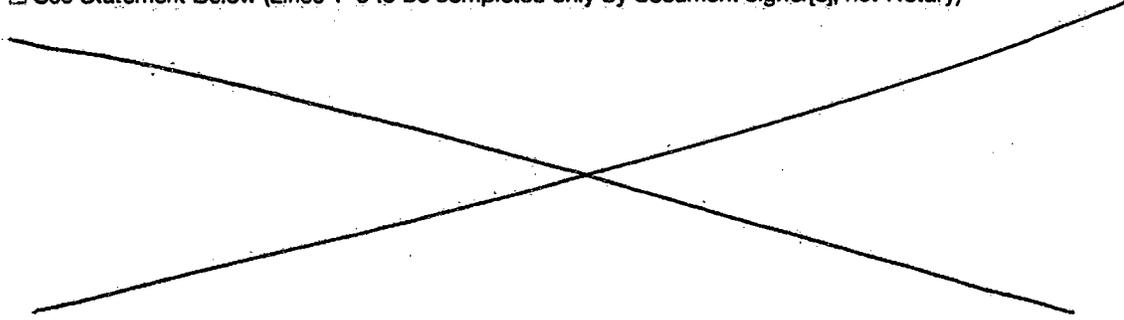
- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Charges in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

**For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

CALIFORNIA JURAT WITH AFFIANT STATEMENT

GOVERNMENT CODE § 8202

- See Attached Document (Notary to cross out lines 1-6 below)
- See Statement Below (Lines 1-6 to be completed only by document signer[s], not Notary)



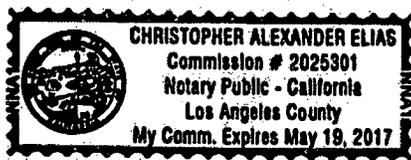
Signature of Document Signer No. 1

Signature of Document Signer No. 2 (if any)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
 County of Los Angeles

Subscribed and sworn to (or affirmed) before me
 on this 14th day of March, 2016.
 by David Joshua Staub
 (1) _____
 (and (2) _____).
 Name(s) of Signer(s)



proved to me on the basis of satisfactory evidence
 to be the person(s) who appeared before me.

Signature Christopher Alexander Elias
 Signature of Notary Public

Seal
 Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: _____ Document Date: _____

Number of Pages: _____ Signer(s) Other Than Named Above: _____

ASTON CAPITAL ADVISORS, LL C.

(FINRA CRD NO. 161313)

FINANCIAL STATEMENTS WITH INDEPENDENT AUDITOR'S REPORT

December 31, 2015

Filed pursuant Rule 17a-5(e)(3) under the
Securities Exchange Act of 1934 as Public Documents



Trust • Reliability • Consistency

REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

To the Member of
Aston Capital Advisors, LLC.
Los Angeles, California

We have audited the accompanying statement of financial condition of Aston Capital Advisors, LLC. (“The Company”) as of December 31, 2015 and the related statements of income, cash flow and changes in member’s equity for the year then ended as well as the supplemental computation of net capital as of December 31, 2015. These financial statements are the responsibility of the Company’s management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with the standards of the Public Company Accounting Oversight Board (United States). Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. The Company has determined that it is not required to have, nor were we engaged to perform, an audit of its internal control over financial reporting. Our audits included consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company’s internal control over financial reporting. Accordingly, we express no such opinion. An audit includes examining on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above and the related supplemental schedule present fairly, in all material respects, the financial position of Aston Capital Advisors, LLC as of December 31, 2015 and the results of its operations and changes in financial position for the year then ended in conformity with accounting principles generally accepted in the United States.

/s/ ThayerOneal

Sugar Land, Texas

February 29, 2016

a member of the Stable Network | www.thayeroneal.com

101 Parklane Boulevard, Suite 201 | Sugar Land, TX 77478 | T: 281.552.8430 | F: 281.552.8431

Aston Capital Advisors, LLC
Statement of Financial Condition
December 31, 2015

ASSETS

Current assets

Cash and Cash Equivalents	\$ 16,556
Certificate of deposit	10,008
Deposit with CRD	<u>714</u>

Total current assets 27,278

TOTAL ASSETS **\$ 27,278**

LIABILITIES & MEMBER'S EQUITY

Liabilities

Current Liabilities

Accrued Expenses \$ 2,765

Total current liabilities 2,765

Total Liabilities 2,765

Member's Equity 24,513

TOTAL LIABILITIES & MEMBER'S EQUITY **\$ 27,278**

The accompanying notes are an integral part of these financial statements.

Aston Capital Advisors, LLC
Statement of Income
For the year ended December 31, 2015

Operating Expenses	
General and Administrative	\$ 2,360
Organizational Expenses	6,015
Rent Expenses	1,800
FINRA Expenses	1,449
State Taxes	<u>800</u>
Total operating expenses	12,424
Other income and expenses	
Interest Income	<u>(9)</u>
Net loss	<u>\$ 12,415</u>

The accompanying notes are an integral part of these financial statements

Aston Capital Advisors, LLC
Statement of Cash Flow
for the year ended December 31, 2015

OPERATING ACTIVITIES

Net loss	\$ (12,415)
Adjustments to reconcile Net Income to net cash provided by operations:	
Accrued Expenses	<u>2,765</u>
Net cash provided by operating activities	(9,650)

FINANCING ACTIVITIES

Contributed Capital	<u>6,782</u>
Net cash provided by financing activities	<u>6,782</u>
Net cash decrease for period	(2,868)
Cash at beginning of period	<u>30,146</u>
Cash at end of period	<u><u>\$ 27,278</u></u>

The accompanying notes are an integral part of these financial statements

Aston Capital Advisors, LLC
Statement of Changes in Member's Equity
As of December 31, 2015

Balance January 1, 2015	\$ 36,928
Net :Loss	<u>(12,415)</u>
Balance December 31, 2015	<u><u>24,513</u></u>

The accompanying notes are an integral part of these financial statements

Statement of Changes in Liabilities Subordinated to Claims of Creditors

Not necessary.

Aston Capital Advisors, LLC.
Notes to Financial Statements
Year Ended December 31, 2015

NOTE 1 – NATURE OF BUSINESS AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Nature of Business

Aston Capital Advisors LLC. (“The Company”) is a registered securities broker-dealer engaged in securities sales and trading. The Company does not receive, directly or indirectly, or hold funds or securities for, or owe funds or securities to, customers and does not carry accounts of, or for, customers and does not engage in any of the activities described in paragraphs (a)(2)(i) through (v) of net capital requirements for brokers or dealers SEA rule 15c3-1 and maintains net capital of not less than \$5,000.

Basis of Presentation

The financial statements are prepared in accordance with accounting principles generally accepted in the United States of America (“GAAP”), the more significant of which are summarized below.

Accounting estimates and assumptions

The preparation of financial statements in conformity with accounting principles generally accepted in the U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Cash and cash equivalents

The Company considers all highly liquid investments with a maturity of three months or less when purchased to be cash equivalents.

Leases

We lease office space and equipment under operating lease with related party. The lease term is an annual renewable lease.

Net Capital

There are no overall unfavorable bank account, correspondent account, clearing corporation and securities depository or other reconciliation differences to disclose, and account for in computing net capital.

NOTE 2 – RELATED PARTY

Accrued expenses balance of \$2,765 represents office and overhead expenses due to due to related party. \$1,800 of this relates to unpaid rent expense, \$101 of unpaid overhead, and \$850 for fidelity bond which represents sums due to David J. Staub.

Aston Capital Advisors, LLC.
Notes to Financial Statements
For the year Ended December 31, 2015

NOTE 3 – SUBSEQUENT EVENTS

The Company has evaluated all events that occurred after the balance sheet date of December 31, 2015 through February 29, 2016, the date when the financial statements were available to be issued. The Management of the Company determined that there were no reportable events that occurred during that subsequent period to be disclosed or recorded.

Aston Capital Advisors, LLC
 Computation of Net Capital
 December 31, 2015

Net Capital

Cash or cash equivalents	\$	16,556
Certificate of deposit		10,008
Undeposited funds		<u>714</u>
Total assets		27,278
Less current liabilities		<u>2,765</u>
Member's equity		24,513
Less non-allowable assets - deposit with CRD		<u>714</u>
Allowable assets		23,799
1% Haircut for CD		(100)
Debt		<u>0</u>
Net Capital		23,699
120% of Reg. Min.		<u>(6,000)</u>
Excess	\$	<u><u>17,699</u></u>

Reconciliation with Part IIA of Form X-17A-5

Net capital reported in Form X-17A-5	\$	27,278
Reconciling items		<u>0</u>
Net capital as audited	\$	<u><u>27,278</u></u>
Total assets reported in Form X-17A-5	\$	27,278
Reconciling items		<u>0</u>
Total assets as audited	\$	<u><u>27,278</u></u>
Total liabilities reported in Form X-17A-5	\$	2,765
Reconciling items		<u>0</u>
Total liabilities as audited	\$	<u><u>2,765</u></u>



CERTIFIED PUBLIC ACCOUNTANTS

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REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

To the Member of
Aston Capital Advisors, LLC.
Los Angeles, California

We have reviewed management's statements, included in the accompanying [*title of the exemption report*], in which (1) Z Broker identified the following provisions of 17 C.F.R. § 15c3-3(k) under which Z Broker claimed an exemption from 17 C.F.R. § 240.15c3-3: (*fill in which exemption provision—(1), (2)(i), (2)(ii), or (3)*) (the "exemption provisions") and (2) Z Broker stated that Z Broker met the identified exemption provisions throughout the most recent fiscal year without exception. Z Broker's management is responsible for compliance with the exemption provisions and its statements.

Our review was conducted in accordance with the standards of the Public Company Accounting Oversight Board (United States) and, accordingly, included inquiries and other required procedures to obtain evidence about Z Broker's compliance with the exemption provisions. A review is substantially less in scope than an examination, the objective of which is the expression of an opinion on management's statements. Accordingly, we do not express such an opinion.

Based on our review, we are not aware of any material modifications that should be made to management's statements referred to above for them to be fairly stated, in all material respects, based on the provisions set forth in paragraph (k)(*fill-in which exemption provision—(1), (2)(i), (2)(ii), or (3)*) of Rule 15c3-3 under the Securities Exchange Act of 1934.

/S/ Thayer O'Neal Company, LLC

Sugar Land, TX

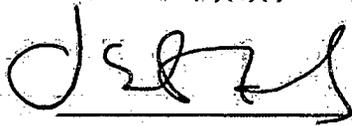
February 29, 2016

Exemption Report

To the best knowledge and belief of the Aston Capital Advisors, LLC, the following statements are true:

Aston Capital Advisors, LLC claims an exemption under § 240.15c3-3(k) under which the broker or dealer claimed an exemption from § 240.15c3-3.

Aston Capital Advisors, LLC met the identified exemption provisions in § 240.15c3-3(k)(2)(i) throughout the most recent fiscal year without exception.



David J. Staub, manager & member

Aston Capital Advisors, LLC