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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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**ANNUAL AUDITED REPORT  
FORM X-17A-5  
PART III**

SEC FILE NUMBER
8- 66619

FEB 29 2016

FACING PAGE - Public

**Information Required of Brokers and Dealers Pursuant to Section 17 of the  
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder**

REPORT FOR THE PERIOD BEGINNING 1/1/15 AND ENDING 12/31/15  
MM/DD/YY MM/DD/YY

**A. REGISTRANT IDENTIFICATION**

NAME OF BROKER-DEALER: **FORESIGHT INVESTMENTS, LLC**

OFFICIAL USE ONLY
FIRM I.D. NO.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

450 SKOKIE BLVD., SUITE 507

(No. and Street)

NORTHBROOK

ILLINOIS

60062

(City)

(State)

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

DAVID KEEFE

(847) 498-7564

(Area Code - Telephone Number)

**B. ACCOUNTANT IDENTIFICATION**

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*

TOPEL FORMAN LLC

(Name - if individual, state last, first, middle name)

500 N MICHIGAN AVE, SUITE 1700

CHICAGO

ILLINOIS

60611

(Address)

(City)

(State)

(Zip Code)

**CHECK ONE:**

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

acb

OATH OR AFFIRMATION

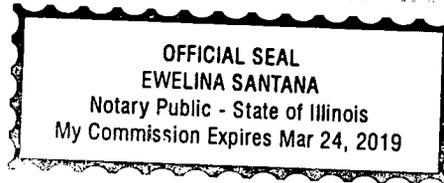
I, DAVID KEEFE, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of FORESIGHT INVESTMENTS, LLC, as of DECEMBER 31, 20 15, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

David B Keefe
Signature

MANAGING MEMBER
Title

[Handwritten signature of Notary Public]

Notary Public



This report \*\* contains (check all applicable boxes):

- (a) Facing Page.
(b) Statement of Financial Condition.
(c) Statement of Income (Loss).
(d) Statement of Changes in Financial Condition.
(e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
(g) Computation of Net Capital.
(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
(l) An Oath or Affirmation.
(m) A copy of the SIPC Supplemental Report.
(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

**FINANCIAL STATEMENTS  
DECEMBER 31, 2015*****TABLE OF CONTENTS***

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	<u>PAGE(S)</u>
Report of Independent Registered Public Accounting Firm	3
Statement of Financial Condition	4
Statement of Operations	5
Statement of Changes in Members' Equity	6
Statement of Cash Flows	7
Notes to Financial Statements	8 – 10
Supplementary Information	
Schedule I – Computation of Net Capital under Rule 15c3-1 of the Securities and Exchange Commission	11
Schedule II – Computation for Determination of Reserve Requirements under Rule 15c3-3 of the Securities and Exchange Commission	12
Schedule III – Computation for Determination of PAB Reserve Requirements under Rule 15c3-3 of the Securities and Exchange Commission	12
Independent Registered Public Accounting Firm Review of the Exemption Report SEA Rule 17a-5(g)(2)(ii)	13
Exemption Report SEA Rule 17a-5(d)(4)	14

To the members of  
Foresight Investments, LLC

REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

We have audited the accompanying statement of financial condition of Foresight Investments, LLC (the Company), as of December 31, 2015, and the related statements of operations, changes in members' equity, and cash flows for the year then ended, that are filed pursuant to Rule 17a-5 under the Securities Exchange Act of 1934, and the related notes to the financial statements. These financial statements are the responsibility of Foresight Investments, LLC's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with the standards of the Public Company Accounting Oversight Board (United States). Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. The company is not required to have, nor were we engaged to perform, an audit of its internal control over financial reporting. Our audit included consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the company's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Foresight Investments, LLC as of December 31, 2015, and the results of its operations and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

The supplementary information identified in the index has been subjected to audit procedures performed in conjunction with the audit of Foresight Investments, LLC's financial statements. The supplemental information is the responsibility of Foresight Investments, LLC's management. Our audit procedures included determining whether the supplemental information reconciles to the financial statements or the underlying accounting and other records, as applicable, and performing procedures to test the completeness and accuracy of the information presented in the supplemental information. In forming our opinion on the supplemental information, we evaluated whether the supplemental information, including its form and content, is presented in conformity with Rule 17a-5 of the Securities Exchange Act of 1934. In our opinion, the supplemental information is fairly stated, in all material respects, in relation to the financial statements as a whole.

*Topel Forman L.L.C.*

Topel Forman L.L.C.

Chicago, Illinois  
February 23, 2016

**FORESIGHT INVESTMENTS, LLC**

- 4 -

**STATEMENT OF FINANCIAL CONDITION  
DECEMBER 31, 2015***See notes to financial statements.**ASSETS***CURRENT ASSETS**

Cash and cash equivalents	\$	118,713
Commissions receivable		31,413
Miscellaneous receivables		4,688
Other current assets		<u>10,500</u>

Total current assets 165,314

**PROPERTY AND EQUIPMENT**

Office equipment		9,745
Less: accumulated depreciation		<u>(9,745)</u>

Net property and equipment -

**OTHER ASSETS**

Security deposit		<u>4,533</u>
------------------	--	--------------

Total assets \$ 169,847

*LIABILITIES AND MEMBERS' EQUITY***CURRENT LIABILITIES**

Accounts payable	\$	280
Accrued expenses		24,657
Commissions payable		<u>15,305</u>

Total current liabilities 40,242

**MEMBERS' EQUITY**

129,605

Total liabilities and members' equity \$ 169,847

**STATEMENT OF OPERATIONS**  
**YEAR ENDED DECEMBER 31, 2015**

*See notes to financial statements.*

**REVENUES**

Commissions	\$ 534,566
Mutual fund fees	141,087
Reimbursed expenses	92,540
Miscellaneous income	<u>57,645</u>
 Total revenues	 <u>825,838</u>

**EXPENSES**

Employee compensation and benefits	587,879
Brokerage and clearing fees	133,910
Professional fees	30,121
Telephone	17,278
Office	12,573
Regulatory fees	37,854
Rent and utilities	61,737
Miscellaneous	<u>1,534</u>
 Total expenses	 <u>882,886</u>

**NET LOSS**

\$ (57,048)

**FORESIGHT INVESTMENTS, LLC**

- 6 -

**STATEMENT OF CHANGES IN MEMBERS' EQUITY  
YEAR ENDED DECEMBER 31, 2015**

*See notes to financial statements.*

<b>BALANCE, DECEMBER 31, 2014</b>	\$	186,653
Net loss		<u>(57,048)</u>
<b>BALANCE, DECEMBER 31, 2015</b>	\$	<u>129,605</u>

**FORESIGHT INVESTMENTS, LLC**

- 7 -

**STATEMENT OF CASH FLOWS  
YEAR ENDED DECEMBER 31, 2015***See notes to financial statements.***CASH FLOWS FROM OPERATING ACTIVITIES**

Net loss	\$	(57,048)
Adjustments to reconcile net loss to net cash provided by operating activities		
Change in assets and liabilities		
Commissions receivable		20,598
Miscellaneous receivables		(25)
Other current assets		6,948
Accounts payable		280
Accrued expenses		16,096
Commissions payable		<u>(14,694)</u>
<b>NET DECREASE IN CASH AND CASH EQUIVALENTS</b>		<b>(27,845)</b>
<b>CASH AND CASH EQUIVALENTS AT BEGINNING OF YEAR</b>		<b><u>146,558</u></b>
<b>CASH AND CASH EQUIVALENTS AT END OF YEAR</b>	<b>\$</b>	<b><u><u>118,713</u></u></b>

**NOTES TO FINANCIAL STATEMENTS  
DECEMBER 31, 2015****NATURE OF OPERATIONS**

**Nature of Operations:** Foresight Investments, LLC (the "Company"), was organized in the State of Illinois in accordance with the Illinois Limited Liability Act on April 10, 2002. The Company is a registered securities broker-dealer conducting its business on a fully disclosed basis. The Company is registered with the U.S. Securities and Exchange Commission ("SEC") and is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA"). As a limited liability company, members' liability is limited.

The Company earns commissions and fees from customers when they trade stocks, bonds, options and mutual funds. In addition, the Company receives consulting fees from a debt capital provider and earns rebates on amounts held with the Company's clearing service provider.

**SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

**Use of Estimates:** The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America ("GAAP"). The preparation of financial statements in accordance with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Such estimates primarily relate to unsettled transactions and events as of the date of the financial statements. Accordingly, upon settlement, actual results could differ from those estimates.

**Cash Equivalents:** The Company has defined cash equivalents as highly liquid investments, with original maturities of less than three months, that are not held for sale in the ordinary course of business.

**Property and Equipment:** Property and equipment are stated at cost. Depreciation is computed using the straight-line method over the estimated useful lives of the assets, which range from 5 to 7 years.

**Revenue Recognition:** Customers' securities and commodities transactions are reported on a settlement date basis with related commission income and expense reported on a trade date basis. Amounts receivable and payable for securities transactions that have not reached their contractual settlement date are recorded net on the statement of financial condition. The Company has no allowance for doubtful accounts as management considers all commissions receivable to be fully collectible.

Rebates are earned on a portion of the interest charged by the Company's clearing services provider based upon customer balances and are recognized when they post to the Company's commission account.

Consulting fees are comprised of fees the Company earns for providing financial restructuring advisory services, and are recognized when received.

Miscellaneous revenue is comprised of reimbursed expenses related to office space subleases, including rent, telephone and internet expenses and are recognized in the month the expenses are incurred by the Company.

**Income Taxes:** The Company is a limited liability company which is treated as a partnership for tax purposes and therefore is not a taxpaying entity for federal income tax purposes. Therefore, no income tax expense has been recorded in the financial statements. Income is taxed to the members in their individual returns. The Company is subject to Illinois state replacement taxes.

**NOTES TO FINANCIAL STATEMENTS  
DECEMBER 31, 2015****SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

***Income Taxes (Continued):*** Management has continued to evaluate the application of Accounting Standards Codification ("ASC") 740, "Income Taxes," and has determined that no reserves for uncertain tax positions were required to have been recorded as a result of the adoption of ASC 740. There are no tax positions for which it is reasonably possible that the total amounts of unrecognized tax benefits will significantly increase or decrease within twelve months. The 2012 through 2015 tax years generally remain subject to examination by the U.S. federal and most state tax authorities. If applicable, penalties and interest on uncertain tax positions would be included in income tax expense. The Company has state net operating loss carryforwards of approximately \$60,000 as of December 31, 2015 which begin to expire in 2029.

**COMMITMENTS**

***Operating Lease:*** In June 2009, the Company entered into a lease for office space ending in November 2012. Such lease contained three one-year options, all of which have been exercised with the lease, with the third expiring November 30, 2015. The Company is currently on a month to month extension through May 31, 2016. Rent expense totaled \$61,737 for the year ended December 31, 2015.

The Company is subleasing some of the office space to traders under month to month agreements. Total minimum future rental payments have not been reduced by sublease rentals to be received in the future.

**NET CAPITAL REQUIREMENTS**

The Company is subject to the SEC Uniform Net Capital Rule (SEC Rule 15c3-1), which requires the maintenance of minimum net capital of \$50,000 and requires that the ratio of aggregate indebtedness to net capital shall not exceed 15 to 1.

At December 31, 2015, the Company had net capital (as defined under Rule 15c3-1) of \$109,884, which was \$59,884 in excess of the required net capital of \$50,000. The percentage of aggregate indebtedness to net capital at December 31, 2015 was 36.62%. These amounts are not materially different from the unaudited amounts submitted in the FOCUS report for the year ended December 31, 2015.

**FINANCIAL INSTRUMENTS**

***Concentrations of Credit Risk:*** The Company is engaged in various trading and brokerage activities in which counterparties primarily include broker-dealers, banks, and other financial institutions. In the event counterparties do not fulfill their obligations, the Company may be exposed to risk. The risk of default depends on the creditworthiness of the counterparty or issuer of the instrument. It is the Company's policy to review, as necessary, the credit standing of each counterparty.

**INDEMNIFICATIONS**

In the normal course of business, the Company enters into contracts that contain a variety of representations and warranties that provide indemnifications under certain circumstances. The Company's maximum exposure under these arrangements is unknown as this would involve future claims that may be made against the Company that have not yet occurred. The Company expects the risk of loss to be remote.

**NOTES TO FINANCIAL STATEMENTS  
DECEMBER 31, 2015**

**RELATED PARTY TRANSACTIONS**

The Company received rent and reimbursements for office expenses from a member in the amount of \$14,182 during the year ended December 31, 2015 for rental of office space and use of office supplies. A total of \$1,015 was due from the member as of December 31, 2015.

The Company received commissions totaling \$6,666 during the year ended December 31, 2015 from a company owned by a member of the Company as part of insurance commissions.

**CONTINGENCY**

During 2015, FINRA completed its tri-annual review of the Company and issued their final report on December 31, 2015. FINRA's report noted two exceptions that were referred to FINRA's Enforcement Department for their review and disposition. During February 2016, the Company reached a tentative agreement with FINRA to settle the enforcement action for \$20,000. This amount has been accrued in the accompanying Statement of Financial Condition as of December 31, 2015 and included in accrued expenses.

**Supplementary Information**  
**Pursuant to Rule 17a-5 of the Securities and Exchange Act of 1934**

**As of December 31, 2015**

**FORESIGHT INVESTMENTS, LLC**

- 11 -

**SCHEDULE I - COMPUTATION OF NET CAPITAL UNDER RULE 15c3-1  
OF THE SECURITIES AND EXCHANGE COMMISSION  
DECEMBER 31, 2015***See report of independent registered public accounting firm.***NET CAPITAL**

Total members' equity	\$ 129,605
Deductions and/or charges	
Nonallowable assets	
Other current assets	10,500
Security deposit	4,533
Miscellaneous receivables	<u>4,688</u>
Net capital	<u><u>\$ 109,884</u></u>

**AGGREGATE INDEBTEDNESS**

Accounts payable	\$ 280
Accrued expenses	24,657
Commissions payable	<u>15,305</u>
	<u><u>\$ 40,242</u></u>

**PERCENTAGE OF AGGREGATE INDEBTEDNESS TO NET CAPITAL**36.62%**COMPUTATION OF BASIC NET CAPITAL REQUIREMENT**

Minimum net capital required (6 2/3% of Aggregate Indebtedness)	\$ 2,683
Minimum dollar net capital required	<u>50,000</u>
Net capital requirement (greater of the two)	<u>50,000</u>
Excess net capital	<u><u>\$ 59,884</u></u>
Net capital less greater of 10% of aggregate indebtedness or 120% of minimum dollar net capital required	<u><u>\$ 49,884</u></u>

**RECONCILIATION TO UNAUDITED FORM K-17A-5 PART III**

Net capital reported above	<u><u>\$ 109,884</u></u>
Net capital, per form X-17a-5 Part IIA	<u><u>\$ 109,884</u></u>

**NOTES TO FINANCIAL STATEMENTS**

**DECEMBER 31, 2015**

*See report of independent registered public accounting firm.*

**SCHEDULE II – COMPUTATION FOR DETERMINATION OF RESERVE  
REQUIREMENTS UNDER RULE 15C3-3 OF THE SECURITIES AND EXCHANGE  
COMMISSION DECEMBER 31, 2015**

The Company is exempt from the provisions of Rule 15c3-3 under the Securities Exchange Act of 1934, pursuant to paragraph (k)(2)(i) of the rule.

**SCHEDULE III – INFORMATION RELATING TO THE POSSESSION OR CONTROL  
REQUIREMENTS UNDER RULE 15C3-3 OF THE SECURITIES AND EXCHANGE  
COMMISSION DECEMBER 31, 2015**

The Company is exempt from the provisions of Rule 15c3-3 under the Securities Exchange Act of 1934, pursuant to paragraph (k)(2)(i) of the rule.

To the members  
of Foresight Investments, LLC

REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

We have reviewed management's statements, included in the accompanying Exemption Report, in which (1) Foresight Investments, LLC identified the following provisions of 17 C.F.R. §15c3-3(k) under which Foresight Investments, LLC claimed an exemption from 17 C.F.R. §240.15c3-3(k)(2)(ii) (the "exemption provisions") and (2) Foresight Investments, LLC stated that Foresight Investments, LLC met the identified exemption provisions throughout the most recent fiscal year without exception. Foresight Investments, LLC's management is responsible for compliance with the exemption provisions and its statements.

Our review was conducted in accordance with the standards of the Public Company Accounting Oversight Board (United States) and, accordingly, included inquiries and other required procedures to obtain evidence about Foresight Investments, LLC's compliance with the exemption provisions. A review is substantially less in scope than an examination, the objective of which is the expression of an opinion on management's statements. Accordingly, we do not express such an opinion.

Based on our review, we are not aware of any material modifications that should be made to management's statements referred to above for them to be fairly stated, in all material respects, based on the provisions set forth in paragraph (k)(2)(ii) of Rule 15c3-3 under the Securities Exchange Act of 1934.

*Topel Forman L.L.C.*

Topel Forman L.L.C.

Chicago, Illinois  
February 23, 2016

# FORESIGHT INVESTMENTS, LLC

Member SIPC, NASD, MSRB

450 Skokie Blvd., Suite 507 Northbrook, IL 60062  
Phone (847)498-7999 • Fax (847)498-7576

February 23, 2016

The below information is designed to meet the Exemption Report criteria pursuant to SEC Rule 17a-5(d)(4):

Foresight Investments LLC is a broker/dealer registered with the SEC and FINRA

- Foresight investments LLC claimed an exemption under paragraph (k)(2)(X11) of Rule 15c3-3 for the fiscal year ended December 31, 2015.
- Foresight Investments LLC is exempt from the provisions of Rule 15c3-3 because it meets conditions set forth, in paragraph (k)(2)(Xii) of the rule, of which, the identity of the specific conditions are as follows:

The provisions of the Customer Protection Rule shall not be applicable to a broker or dealer who, as an introducing broker or dealer, clears all transactions with and for customers on a fully disclosed basis with a clearing broker or dealer, and who promptly transmits all customer funds and securities to the clearing broker or dealer which carries all of the accounts of such customers and maintains and preserves such books and records pertaining thereto pursuant to the requirements of Rule 17a-3 and rule 17a-4, as are customarily made and kept by a clearing broker or dealer.

- Foresight Investments LLC has met the identified exemption provisions in paragraph (k)(2)(Xii) of Rule 15c3-3 throughout the period of January 1, 2015 through December 31, 2015 without exception.
- Foresight Investments LLC has not recorded any exceptions to the exemption provision in paragraph (k)(2)(ii) of Rule 15c3-3 for the period of January 1, 2015 through December 31, 2015.

The above statements are true and correct to the best of my and the Firm's knowledge.

Signature: David B Keefe

Name and Title: DAVID B KEEFE, PRESIDENT

FORESIGHT INVESTMENTS, LLC

REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM  
ON APPLYING AGREED-UPON PROCEDURES  
TO SCHEDULE OF ASSESSMENT AND PAYMENTS (FORM SIPC-7)

DECEMBER 31, 2015

To the members of  
Foresight Investments, LLC

INDEPENDENT ACCOUNTANT'S AGREED-UPON PROCEDURES REPORT ON SCHEDULE OF ASSESSMENT AND PAYMENTS  
(FORM SIPC-7)

In accordance with Rule 17a-5(e)(4) of the Securities Exchange Act of 1934, we have performed procedures enumerated below with respect to the accompanying Assessment and Payments (Form SIPC-7) to the Securities Investor Protection Corporation (SIPC) for the year ended December 31, 2015, which were agreed to by Foresight Investments, LLP (the Company) and the Securities and Exchange Commission, Financial Industry Regulatory Authority, Inc., SIPC solely to assist you and other specified parties in evaluating the Company's compliance with the applicable instructions of Form SIPC-7. The Company's management is responsible for the Company's compliance with those requirements. This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose. The procedures we performed and our findings are as follows:

- 1) Compared the listed assessment payments in Form SIPC-7 with respective cash disbursement records entries in general ledger, noting no differences;
- 2) Compared the amounts reported on the audited Form X-17A-5 for the year ended December 31, 2015, as applicable, with the amounts reported in Form SIPC-7 for the year ended December 31, 2015, noting no differences;
- 3) Compared any adjustments reported in Form SIPC-7 with supporting schedules and working papers, noting no differences;
- 4) Proved the arithmetical accuracy of the calculations reflected in Form SIPC-7 and in the related schedules and working papers supporting the adjustments noting no differences.

We were not engaged to, and did not conduct an examination, the objective of which would be the expression of an opinion on the Company's claim for exclusion from the membership in SIPC. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and the use of the specified parties listed above and is not intended to be and should not be used by anyone other than the specified parties.

*Topel Forman L.L.C.*

Topel Forman L.L.C.

Chicago, Illinois  
February 23, 2016

**SIPC-7**

(33-REV 7 10)

SECURITIES INVESTOR PROTECTION CORPORATION  
P.O. Box 92185 Washington, D.C. 20090-2185  
202-371-8300

**General Assessment Reconciliation**

**SIPC-7**

(33-REV 7 10)

For the fiscal year ended 12/31/2015

(Read carefully the instructions in your Working Copy before completing this Form.)

**TO BE FILED BY ALL SIPC MEMBERS WITH FISCAL YEAR ENDINGS**

1. Name of Member, address, Designated Examining Authority, 1934 Act registration no. and month in which fiscal year ends for purposes of the audit requirement of SEC Rule 17a-5:

18\*18\*\*\*\*\*2469\*\*\*\*\*MIXED AADC 220  
066619 FINRA DEC  
FORESIGHT INVESTMENTS LLC  
450 SKOKIE BLVD STE 507  
NORTHBROOK IL 60062-7913

Note: If any of the information shown on the mailing label requires correction, please e-mail any corrections to form@sipc.org and so indicate on the form filed.

Name and telephone number of person to contact respecting this form.  
DAVID KEEFE 847-498-6661

- 2. A. General Assessment (item 2e from page 2) \$ 1445
- B. Less payment made with SIPC-6 filed (exclude interest) 809  
7/21/15  
Date Paid
- C. Less prior overpayment applied ( )
- D. Assessment balance due or (overpayment) 636
- E. Interest computed on late payment (see instruction E) for \_\_\_\_\_ days at 20% per annum 0
- F. Total assessment balance and interest due (or overpayment carried forward) \$ 636
- G. PAID WITH THIS FORM:  
Check enclosed, payable to SIPC  
Total (must be same as F above) \$ \_\_\_\_\_
- H. Overpayment carried forward \$( \_\_\_\_\_ )

3. Subsidiaries (S) and predecessors (P) included in this form (give name and 1934 Act registration number):  
NONE

The SIPC member submitting this form and the person by whom it is executed represent thereby that all information contained herein is true, correct and complete.

FORESIGHT INVESTMENTS LLC  
Name of Corporation, Partnership or other organization  
David B Keefe  
Authorized Signature  
PRESIDENT  
(Title)

Dated the 7 day of JAN, 2015.

This form and the assessment payment is due 60 days after the end of the fiscal year. Retain the Working Copy of this form for a period of not less than 6 years, the latest 2 years in an easily accessible place.

**SIPC REVIEWER** Dates:            Postmarked            Received            Reviewed           

Calculations            Documentation            Forward Copy           

Exceptions: \_\_\_\_\_

Disposition of exceptions: \_\_\_\_\_

**DETERMINATION OF "SIPC NET OPERATING REVENUES"  
AND GENERAL ASSESSMENT**

Amounts for the fiscal period  
beginning 1/1/2015  
and ending 12/31/2015

**Item No.**

Eliminate cents

2a. Total revenue (FOCUS Line 12/Part IIA Line 9, Code 4030)

\$ 825,837

2b. Additions:

(1) Total revenues from the securities business of subsidiaries (except foreign subsidiaries) and predecessors not included above.

\_\_\_\_\_

(2) Net loss from principal transactions in securities in trading accounts.

\_\_\_\_\_

(3) Net loss from principal transactions in commodities in trading accounts.

\_\_\_\_\_

(4) Interest and dividend expense deducted in determining item 2a.

\_\_\_\_\_

(5) Net loss from management of or participation in the underwriting or distribution of securities.

\_\_\_\_\_

(6) Expenses other than advertising, printing, registration fees and legal fees deducted in determining net profit from management of or participation in underwriting or distribution of securities.

\_\_\_\_\_

(7) Net loss from securities in investment accounts.

\_\_\_\_\_

Total additions

\_\_\_\_\_

2c. Deductions:

(1) Revenues from the distribution of shares of a registered open end investment company or unit investment trust, from the sale of variable annuities, from the business of insurance, from investment advisory services rendered to registered investment companies or insurance company separate accounts, and from transactions in security futures products.

46,630

(2) Revenues from commodity transactions.

\_\_\_\_\_

(3) Commissions, floor brokerage and clearance paid to other SIPC members in connection with securities transactions.

105,168

(4) Reimbursements for postage in connection with proxy solicitation.

\_\_\_\_\_

(5) Net gain from securities in investment accounts.

\_\_\_\_\_

(6) 100% of commissions and markups earned from transactions in (i) certificates of deposit and (ii) Treasury bills, bankers acceptances or commercial paper that mature nine months or less from issuance date.

3622

(7) Direct expenses of printing advertising and legal fees incurred in connection with other revenue related to the securities business (revenue defined by Section 16(9)(L) of the Act).

\_\_\_\_\_

(8) Other revenue not related either directly or indirectly to the securities business.  
(See Instruction C)

92,540

(Deductions in excess of \$100,000 require documentation)

(9) (i) Total interest and dividend expense (FOCUS Line 22 PART IIA Line 13,

Code 4075 plus line 2b(4) above) but not in excess of total interest and dividend income.

\$ \_\_\_\_\_

(ii) 40% of margin interest earned on customers securities accounts (40% of FOCUS line 5, Code 3960).

\$ \_\_\_\_\_

Enter the greater of line (i) or (ii)

\_\_\_\_\_

Total deductions

247,960

2d. SIPC Net Operating Revenues

\$ 577,877

2e. General Assessment @ .0025

\$ 1445

(to page 1, line 2.A.)

FORESIGHT INVESTMENTS, LLC 04/02  
450 Skokie Blvd, Ste 507  
Northbrook, IL 60062-6794  
(847) 498-6661

JPMORGAN CHASE BANK, NA  
02-001/710

6230

1/7/2016

PAY TO THE ORDER OF SIPC

\$\*\*636.00

Six Hundred Thirty-Six and 00/100\*\*\*\*\* DOLLARS

Securities Investor Protection Corp  
PO Box 92185  
Washington, DC 20090-2185



July-Dec 2015 SIPC fees

⑈006230⑈ ⑆07⑆0000⑆3⑆ ⑆⑆⑆5002067426⑈

FORESIGHT INVESTMENTS, LLC		6230
SIPC	1/7/2016	
Accrued Expenses		636.00

Checking Account July-Dec 2015 SIPC fees 636.00

FORESIGHT INVESTMENTS, LLC		6230
SIPC	1/7/2016	
Accrued Expenses		636.00

Checking Account July-Dec 2015 SIPC fees 636.00

Details on Back. Intuit® CheckLock™ Secure Check

SEC  
Mail Processing  
Section

**FORESIGHT INVESTMENTS, LLC**  
FEB 26 2016  
Washington DC  
403

*Financial Statements*  
*December 31, 2015*

(Available for Public Inspection)