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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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SEC  
ANNUAL AUDITED REPORT  
FORM X-17A-5

SEC FILE NUMBER
8-42399

FEB 26 2016

PART III

PAGING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the  
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 01/02/15 AND ENDING 12/31/15  
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: THE ROCKWELL FINANCIAL GROUP, INC OFFICIAL USE ONLY  
ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)  
FIRM I.D. NO.

2 WILLIAM STREET, #403

(No. and Street)

WHITE PLAINS

(City)

NY

(State)

10601

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT  
MICHAEL HALKITIS 516 499-9453  
(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*

INCORVAIA & ASSOCIATES

(Name - if individual, state last, first, middle name)

380 N. BROADWAY #408, JERICHO

(Address)

(City)

NY

(State)

11753

(Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

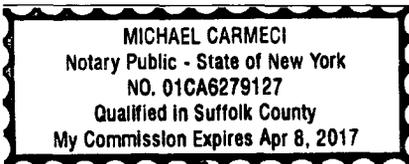
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\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

AKB

OATH OR AFFIRMATION

I, MICHAEL HALKITIS, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of THE ROCKWELL FINANCIAL GROUP, INC, as of DECEMBER, 20 15, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:



[Signature]  
Notary Public

[Signature]  
Signature  
PRESIDENT / FINOP  
Title

This report \*\* contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

**Rockwell Financial Group, Inc.**  
**Statement of Financial Condition**  
**December 31, 2015**

ASSETS

<u>Current assets:</u>	
Cash	\$ 744
Commissions receivable	9,514
Prepaid expenses	<u>9,615</u>
Total current assets	19,873
<u>Other assets:</u>	
Clearing firm deposit	20,000
Total other assets	<u>20,000</u>
<u>Total Assets</u>	<u>\$ 39,873</u>

LIABILITY AND STOCKHOLDER'S EQUITY

<u>LIABILITY</u>	
Accrued expenses	\$ <u>5,953</u>
<u>Total Liability</u>	<u>5,953</u>
<u>STOCKHOLDER'S EQUITY</u>	
Common stock, no par value, 100 shares authorized, issued and outstanding	100
Additional paid-in capital	122,190
Accumulated deficit	<u>(88,370)</u>
<u>Total Stockholder's Equity</u>	<u>33,920</u>
<u>Total Liability and Stockholder's Equity</u>	<u>\$ 39,873</u>

See accompanying notes to financial statements.