

091-20754jm

SEC

For Internal Use Only
Sec File No. 9-

Submit 1 Original and 9 Copies

Mail Processing Section

APR 22 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington DC 412

OMB APPROVAL
OMB Number: 3235-0504
Expires: August 31, 2010
Estimated average burden hours per response.....3.60

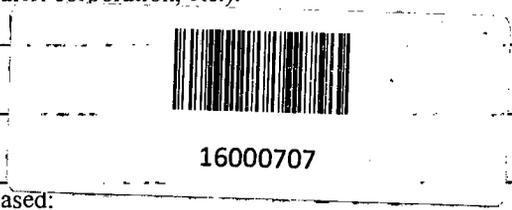
FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open End Management Investment Company
- 3. Class of New Derivative Securities Product:
Exchange Traded Fund
- 4. Name of Underlying Instrument:
Actively managed portfolio of securities.
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Not Applicable
- 6. Ticker Symbol(s) of New Derivative Securities Product:
RFAP
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Listed on: Australian Stock Exchange (ASX), Hong Kong, New Zealand, Singapore Stock Exchange, Tokyo Stock Exchange, Toronto
- 8. Position Limits of New Derivative Securities Product (if applicable):
Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable):
N/A



Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Colleen Steele

Title:
Assistant Corporate Secretary

Telephone Number:
301-978-8736

Manual Signature of Official Responsible for Form:
[Handwritten Signature]

Date: **April 15, 2016**

SECURITIES AND EXCHANGE COMMISSION
RECEIVED
APR 22 2016

SEC 2449 (6-01)

Act **Securities Exchange Act of 1934**
DIVISION OF TRADING & MARKETS
Section **19b-4**
Rule **19b-4(e)**
Public Availability: **APR 22 2016**