

091-2073548

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

2016 APR 18 PM 5:13

SEC / MR

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

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Part I

Initial Listing Report

APR 18 2016

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
 Washington DC
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
 112
- Class of New Derivative Securities Product:  
 
- Name of Underlying Instrument:  
 16000690
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
- Ticker Symbol(s) of New Derivative Securities Product:
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
- Settlement Methodology of New Derivative Securities Product:
- Position Limits of New Derivative Securities Product (if applicable):

Part II

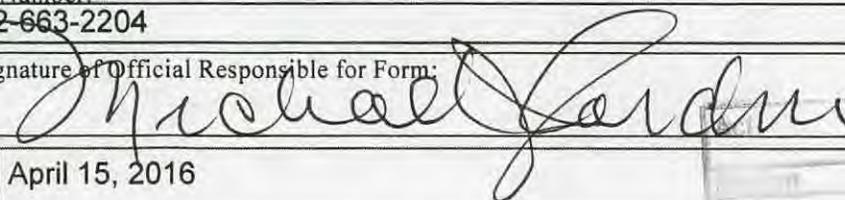
Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Title:

Telephone Number:

Manual Signature of Official Responsible for Form:  


Date:

Filed Under the Securities Exchange Act of 1934

19b-4  
19b-4(e)

Public Availability: APR 18 2016



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APR 18 2016

Washington DC  
412

April 15, 2016

**By UPS**

Ms. Gayle S. Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street NE – Stop 7010  
Washington, DC 20549

**Re: Form 19b-4(e) for exchange traded product**

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

RFAP	First Trust RiverFront Dynamic Asia Pacific ETF
RFDI	First Trust RiverFront Dynamic Developed International ETF
RFEU	First Trust RiverFront Dynamic Europe ETF

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Michael J. Cardin  
Director  
Member Regulation Department

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	APR 18 2016