

091-205638

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Mail Processing

Section

Part I

Initial Listing Report

MAY 19 2016

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Bats EDGX Exchange, Inc. Washington DC
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See Attached. 412
- Class of New Derivative Securities Product:
See Attached.
- Name of Underlying Instrument:
See Attached. 16000510
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See Attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See Attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument
Trades: See Attached.
- Settlement Methodology of New Derivative Securities Product:
Trades Locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not Applicable.

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Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: 913-815-7154

Manual Signature of Official Responsible for Form:

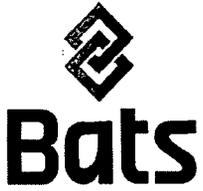
Date: May 18, 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

Public Availability: MAY 19 2016

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EDGX EXCHANGE, INC.
 COMMENCING ON MAY 12, 2016

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
CNDF	Trust	Investment Company Units	MSCI USA Consumer Discretionary Diversified Multiple-Factor Capped Index	U.S.	Broad
CNSF	Trust	Investment Company Units	MSCI USA Consumer Staples Diversified Multiple-Factor Capped Index	U.S.	Broad
ERGF	Trust	Investment Company Units	MSCI USA Energy Diversified Multiple-Factor Capped Index	U.S.	Broad
FNCF	Trust	Investment Company Units	MSCI USA Financials Diversified Multiple-Factor Capped Index	U.S.	Broad
HCRF	Trust	Investment Company Units	MSCI USA Health Care Diversified Multiple-Factor Capped Index	U.S.	Broad
INDF	Trust	Investment Company Units	MSCI USA Industrials Diversified Multiple-Factor Capped Index	U.S.	Broad
MATF	Trust	Investment Company Units	MSCI USA Materials Diversified Multiple-Factor Capped Index	U.S.	Broad
TCHF	Trust	Investment Company Units	MSCI USA Information Technology Diversified Multiple-Factor Capped Index	U.S.	Broad
UTLF	Trust	Investment Company Units	MSCI USA Utilities Diversified Multiple-Factor Capped Index	U.S.	Broad



SEC
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Section

MAY 19 2016

Washington DC
412

May 18, 2016

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - Bats EDGX Exchange, Inc.

Ms. Jackson,

On behalf of Bats EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding nine (9) derivative securities products that commenced trading on Bats EDGX Exchange on May 12, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
SVP, Associate General Counsel
913.815.7154

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Section	19b-4
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