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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company

3. Class of New Derivative Securities Product: Exchange Traded Fund

4. Name of Underlying Instrument: Janus Small Cap Growth Alpha Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based



16000290

6. Ticker Symbol(s) of New Derivative Securities Product: JSML

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE

8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable): N/A

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Colleen Steele

Title: Assistant Corporate Secretary

Telephone Number: 301-978-8736

Manual Signature of Official Responsible for Form:

Handwritten signature of Colleen Steele

Date: February 26, 2016

SEC 2449 (6-01)

Securities Exchange Act of 1934 Section 19b-4 Rule 19b-4(e) Public Availability: FEB 29 2016