

091-20207

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

OMB APPROVAL  
OMB Number: 3235-0504  
Expires: July 31, 2016  
Estimated average burden  
hours per response . . . . 3.60

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

RECEIVED

FEB -3

SEC / TM

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
National Stock Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust

3. Class of New Derivative Securities Product:  
Equity

4. Name of Underlying Instrument:  
iShares Morningstar Mid-Cap Value ETF

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:  
JKI

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchange

8. Settlement Methodology of New Derivative Securities Product:  
Cash

9. Position Limits of New Derivative Securities Product (if applicable):

SEC  
Mail Processing  
Section  
FEB 08 2016  
Washington DC  
404



1600237

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
James Buckley

Title:  
Chief Regulatory Officer

Telephone Number:  
201-499-3698

Manual Signature of Official Responsible for Form:

Date: *James Buckley*  
February 5, 2016

Act: Securities Exchange Act of 1934  
Section: 19b-4  
Title: 19b-4(e)  
Public Availability: FEB 08 2016



National Stock Exchange

RECEIVED  
FEB 05 2016  
4:00 PM

James G. Buckley  
Chief Regulatory Officer  
t: 201. 499.3698  
f: 201. 499.0727  
[james.buckley@nxx.com](mailto:james.buckley@nxx.com)

VIA FED EX

February 5, 2016

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street N.E.  
Washington, DC 20549

SEC  
Mail Processing  
Section

FEB 08 2016  
Washington DC  
404

Re: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

- 1) BBH
- 2) DDBI
- 3) EDBI
- 4) EMDV
- 5) EZU
- 6) PPH
- 7) XITK
- 8) DDEZ
- 9) DDJP
- 10) DDLs
- 11) DDWM
- 12) DFND
- 13) GARD
- 14) GNRX
- 15) TLT
- 16) SLQD
- 17) SCZ

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	FEB 08 2016

Ms. Gail Jackson

February, 2016

Page 2

- 18) MCHI
- 19) JKI
- 20) IXUS
- 21) IGF
- 22) DEFA
- 23) DEWJ
- 24) DEZU
- 25) LEAD
- 26) AIA
- 27) ENZL
- 28) EWZS

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours,

A handwritten signature in black ink, appearing to read "James A. Bush". The signature is written in a cursive style with a large, sweeping initial "J".

Enclosures