

091-2015-22

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2016 JAN 19 AM 1:52  
SEC / TM  
UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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2016 FEB -2 AM 4:54

**FORM 19b-4(e)**

SEC / TM

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I**

**Initial Listing Report**

**SEC  
Mail Processing  
Section**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

BATS Y-Exchange, Inc.

FEB 02 2016

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

See Attached.

Washington DC  
404

3. Class of New Derivative Securities Product:

See Attached.

4. Name of Underlying Instrument:

See Attached.



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5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

See Attached.

6. Ticker Symbol(s) of New Derivative Securities Product:

See Attached.

7. Market or Markets Upon Which Securities Comprising Underlying Instrument

Trades: See Attached.

8. Settlement Methodology of New Derivative Securities Product:

Trades Locked in at Exchange and settled at NSCC.

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable.

**Part II**

**Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Anders Franzon

Title:

SVP, Associate General Counsel

Telephone Number:

913-815-7154

Manual Signature of Official Responsible for Form:

Act Securities Exchange Act of 1934

Date:

February 1, 2016

Section 19b-4  
Rule 19b-4(e)

Public

Availability: FEB 02 2016

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.  
 COMMENCING ON FEBRUARY 01, 2016

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
BBH	Trust	Investment Company Units	Market Vectors® US Listed Biotech 25 Index	U.S.	Broad
PPH	Trust	Investment Company Units	Market Vectors® US Listed Pharmaceutical 25 Index	U.S.	Broad



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SEC  
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Section  
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Washington DC  
404

February 1, 2016

Ms. Gail Jackson  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

**RE: Form 19b-4(e) – BATS Y-Exchange, Inc.**

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding two (2) derivative securities products that commenced trading on BATS Y-Exchange on February 1, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon  
SVP, Associate General Counsel  
913.815.7154

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Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	FEB 02 2016