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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

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Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
BATS Exchange, Inc.

JAN 20 2016

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.)  
See Attached.

Washington DC  
404

3. Class of New Derivative Securities Product:  
See Attached.



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4. Name of Underlying Instrument:  
See Attached.

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based  
See Attached.

6. Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.

7. Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.

8. Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC.

9. Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.

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Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: SVP, Associate General Counsel

Telephone Number: 913-815-7154

Manual Signature of Official Responsible for Form:

Date: January 19, 2016

Securities Exchange Act of 1934  
19b-4(e)  
Public Availability: JAN 20 2016

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.  
 COMMENCING ON JANUARY 14, 2016

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
GNRX	Trust	vestment Company Un	Indxx Global Generics & New Pharma Index	World	Broad
DFND	Trust	vestment Company Un	Realty Shares DIVCON Dividend Defender Index	World	Broad
GARD	Trust	vestment Company Un	Realty Shares DIVCON Dividend Guard Index	World	Broad



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January 19, 2016

Ms. Gail Jackson  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

**RE: Form 19b-4(e) – BATS Exchange, Inc.**

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on BATS Exchange on January 14, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon  
SVP, Associate General Counsel  
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
File	19b-4(e)
Public Availability:	JAN 20 2016

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