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<b>OMB APPROVAL</b>
OMB Number: 3235-0123
Expires: March 31, 2016
Estimated average burden
Hours per response . . . 12.00

<b>SEC FILE NUMBER</b>
8 - 66515

**ANNUAL AUDITED REPORT**  
 SEC MAIL PROCESSING FORM X-17A-5  
 Received **PART III**

JAN 29 2016

FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING DECEMBER 1, 2014 AND ENDING NOVEMBER 30, 2015  
MM/DD/YY MM/DD/YY

**A. REGISTRANT IDENTIFICATION**

NAME OF BROKER DEALER:

AGENCY DESK, LLC

<b>OFFICIAL USE ONLY</b>
FIRM ID. NO.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

590 MADISON AVENUE

FLOOR 21

(No. And Street)

NEW YORK,

NY

10022

(City)

(State)

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

CHRISTOPHER CARROLL

(646) 765-4704

(Area Code - Telephone Number)

**B. ACCOUNTANT IDENTIFICATION**

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report \*

BREARD & ASSOCIATES, INC.

ATTN: KEVIN G BREARD, CPA

(Name - if individual state last, first, middle name)

9221 Corbin Avenue

NORTHRIDGE

CA

91324-1661

(Address)

(City)

(State)

(Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

<b>FOR OFFICIAL USE ONLY</b>

*\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as basis for the exemption. See section 240.17a-5(e)(2)*

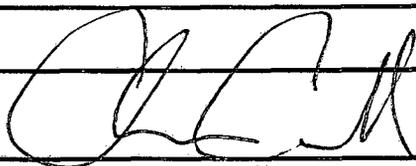
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MS

OATH OR AFFIRMATION

I, CHRISTOPHER CARROLL, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of AGENCY DESK, LLC, as of NOVEMBER 30, 2015, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

  
Signature

MANAGING MEMBER

Title



Notary Public

**Ashish Baria**  
Notary Public, State of New York  
No. 01BA6305769  
Qualified in New York County  
Commission Expires July 09, 2015

This report \*\* contains (check all applicable boxes):

- (a) Facing page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Cash Flows.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietor's Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation or Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of previous audit.
- (o) Supplemental independent Auditors Report on Internal Accounting Control.

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).



Report of Independent Registered Public Accounting Firm

To the Member of  
Agency Desk, LLC:

We have audited the accompanying statement of financial condition of Agency Desk, LLC ("the Company") as of November 30, 2015 (the "financial statement"). This financial statement is the responsibility of Agency Desk, LLC's management. Our responsibility is to express an opinion on this financial statement based on our audit.

We conducted our audit in accordance with the standards of the Public Company Accounting Oversight Board (United States). Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statement referred to above present fairly, in all material respects, the financial position of Agency Desk, LLC as of November 30, 2015, in conformity with accounting principles generally accepted in the United States.

Breard & Associates, Inc.  
Certified Public Accountants

New York, New York  
January 27, 2016

AGENCY DESK, LLC  
STATEMENT OF FINANCIAL CONDITION  
NOVEMBER 30, 2015

ASSETS

Cash	\$ 421,066
Securities owned, at fair value	74,880
Other assets	<u>1,290</u>
<b>TOTAL ASSETS</b>	<b><u>\$ 497,236</u></b>

LIABILITIES AND MEMBER'S CAPITAL

Payables to non customer	<u>\$ 33,820</u>
<b>TOTAL LIABILITIES</b>	<b>33,820</b>
Member's capital	<u>463,416</u>
<b>TOTAL LIABILITIES AND MEMBER'S CAPITAL</b>	<b><u>\$ 497,236</u></b>

The accompanying notes are an integral part of this financial statement.