

Ty  
3/12



15048370

PUBLIC

\*

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
OMB Number: 3235-0123  
Expires: March 31, 2016  
Estimated average burden  
hours per response..... 12.00

SEC  
Mail Processing

ANNUAL AUDITED REPORT

FORM X-17A-5  
PART III

MAR 02 2015

Washington DC

SEC FILE NUMBER  
8- 68316

FACING PAGE 404

Information Required of Brokers and Dealers Pursuant to Section 17 of the  
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 1/1/2014 AND ENDING 12/31/2014  
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: JHCG Securities, LLC  
ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)  
161 NORTH CLARK STREET, SUITE 4700

OFFICIAL USE ONLY  
FIRM I.D. NO.

(No. and Street)

CHICAGO  
(City)

IL  
(State)

60601  
(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT  
(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*  
BROOKWEINER LLC

(Name - if individual, state last, first, middle name)

125 S. WACKER DRIVE, SUITE 1000  
(Address)

CHICAGO  
(City)

IL  
(State)

60606  
(Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

SEC 1410 (06-02)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

BROOKWEINER L.L.C.

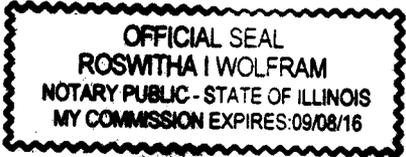
CPA  
3/13/15

OATH OR AFFIRMATION

I, ROBERT GLOWNIAK, JR., swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of JHCG SECURITIES, LLC, as of DECEMBER 31, 2014, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

[Signature]  
Signature  
President  
Title

Roswitha I. Wolfram  
Notary Public



This report \*\* contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

**JHCG Securities, LLC**  
**Statement of Financial Position**  
**As of December 31, 2014**

	<b>Amount</b>
<b>ASSETS</b>	
<b>Current Assets</b>	
<b>Cash</b>	
Checking Account - Fifth Third	\$7,869
<b>Total Cash</b>	\$7,869
<b>Total Current Assets</b>	\$7,869
<b>Fixed Assets</b>	
<b>Org and Start Up Costs</b>	\$7,119
<b>Acc Amort - Organization Cost</b>	(7,119)
<b>Total Fixed Assets</b>	\$0
<b>TOTAL ASSETS</b>	<b>\$7,869</b>
<b>LIABILITIES &amp; EQUITY</b>	
<b>Equity</b>	
<b>Member's Capital</b>	\$59,751
<b>Retained Earnings</b>	(48,034)
<b>Net Loss</b>	(3,848)
<b>Total Equity</b>	\$7,869
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<b>\$7,869</b>

See Accompanying Notes to the Financial Statements