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OFFICIAL USE  
011-00216

# Notice of Government Securities Broker or Government Securities Dealer Activities to be Filed by a Financial Institution under Section 15C(a)(1)(B) of the Securities Exchange Act of 1934

1. Check appropriate regulatory agency (ARA):
- A.  Comptroller of the Currency
  - B.  Board of Governors of the Federal Reserve System
  - C.  Federal Deposit Insurance Corporation
  - D.  Securities and Exchange Commission
2. Conducts business as:
- A.  Government Securities Broker
  - B.  Government Securities Dealer
  - C.  Government Securities Broker and Dealer
3. Filing status of notice:
- A.  Notice
  - B.  Amendment

4. A. Full name of the financial institution Commerce Bank

B. Address of principal office of financial institution:

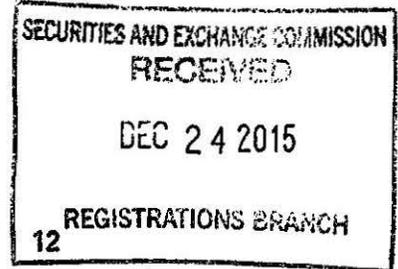
1000 Walnut, 3rd Floor  
Address

Kansas City MO 64106  
City State Zip Code

C. Address of principal office where government securities broker or government securities dealer activities will be conducted (if different from item (B)):

Address

City State Zip Code



D. Mailing address if different from (B) or (C):

P.O. Box 419248, KCCMG  
Address

Kansas City MO 64141-6248  
City State Zip Code

E. Name, title, and telephone number of contact person with respect to this notice:

Thomas H. Kokjer Managing Director 816-234-2690  
Name Title Area Code / Phone Number

5. Does financial institution conduct, or will it conduct, government securities broker or government securities dealer activities at any location other than given in Question 4 above? A.  Yes B.  No

(If yes, provide addresses and describe activities.)

8000 Forsyth Blvd Clayton MO 63105 Trading and Sales Activities  
Address City State Zip Code Describe Activities

1551 N. Waterfront Pkwy Wichita KS 67201 Purchase and Sale of Non-Deposit Investments  
Address City State Zip Code Describe Activities

1345 Battlefield Springfield MO 65804 Purchase and Sale of Non-Deposit Investments  
Address City State Zip Code Describe Activities

see attached      
Address City State Zip Code Describe Activities

6. Furnish the name and title of each person who is directly engaged in the management, direction, or supervision of any of the financial institution's government securities broker or government securities dealer activities:

Full Name

Kokjer, Thomas Hartwell

Managing Director

Last / First / Middle

Title

**NOTE: Attach a separate Form G-FIN-4 (or, if previously filed, a copy of Form MSD-4 or Form U-4) for each person named in item 6.**

7. Has any "associated person" (see definition in paragraph A.7. of the instructions) responded "yes" to any question in Item 17 of Form G-FIN-4, or "yes" to one or more questions in Items 23 through 26 of Form MSD-4 or Item 22 on Form U-4?

A.  Yes B.  No

**NOTE: The financial institution and the person executing this form are responsible for making an inquiry of all other employers of any associated person during the immediately preceding three years for the purpose of verifying the accuracy of the information furnished on Form G-FIN-4. (See 17 C.F.R. § 400.4(c)). Similar requirements are applicable to Form MSD-4 and Form U-4.**

8. *The financial institution submitting this notice and the person executing it represent that all of the information contained herein is true, current, and complete.*

Please print name and title of person executing this notice:

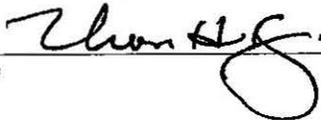
Thomas, Hartwell, Kokjer

Name (First, Middle, Last)

Managing Director

Title

Signature



Date

10/23/15

**Kansas City**

811 Main St. Kansas City, MO 64106

- Back Office / Operations functions (i.e. customer record retention)

**Dallas**

2828 N. Harwood Ste. 1250, Dallas, TX 75201

-Municipal and other Exempt Securities Sales Activities

All customer related records (hard-copy and digital) regarding the municipal securities dealer activities are maintained and archived through the Kansas City locations. The Managing Director (Municipal Securities Principal), Tom Kokjer supervises the maintenance of these records.

- Hard-copy customer records including account forms, corporate resolutions and safekeeping agreements, etc. are maintained in a secure location within Kansas City CMG locations and are readily retrievable.
- Digital records are held within the servers accessed by Commerce Bank's Information Services Division and Sungard Securities System. These records are generally retrievable within 24 hours.

Underwriting related records are maintained at the Kansas City locations. Tom Kokjer supervises the maintenance of these records which are generally retrievable within 24 hours.

The municipal securities dealer financial records are maintained on a General Ledger separate and distinct from the bank and other divisions within Commerce Bank.