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ANNUAL AUDITED REPORT  
FORM X-17A-5  
PART III

SEC  
Mail Processing  
Section

MAR 20 2015

Washington DC

SEC FILE NUMBER

8- 65915

FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the  
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 01/01/14 AND ENDING 12/31/14  
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: Place Trade Financial, Inc.

OFFICIAL USE ONLY

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

FIRM I.D. NO.

4030 Wake Forest Road, Suite 300

(No. and Street)

Raleigh  
(City)

NC  
(State)

27609  
(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

Sarah M. Place 919-719-7200

(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*

Edward Richardson, Jr., CPA

(Name - if individual, state last, first, middle name)

15565 Northland Drive, Southfield

(Address)

(City)

MI  
(State)

48075  
(Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

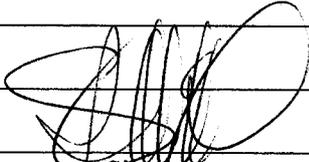
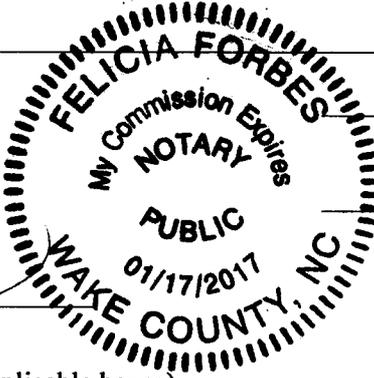
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\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

02  
3/2/15

OATH OR AFFIRMATION

I, Sarah M. Place, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of Place Trade Financial, Inc., as of 31 December, 20 14, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
  
Signature  
CEO  
Title  
  
Notary Public  


This report \*\* contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

**Place Trade Financial, Inc**  
**Balance Sheet**  
As of December 31, 2014

Accrual Basis

	Dec 31, 14
<b>ASSETS</b>	
<b>Current Assets</b>	
<b>Checking/Savings</b>	
PNC Checking	398.69
Wells Fargo	
Wells Fargo Checking	-3,028.28
Wells Fargo High Yield Savings	78,565.79
Wells Fargo Savings	2,250.35
<b>Total Wells Fargo</b>	77,787.86
<b>Total Checking/Savings</b>	78,186.55
<b>Accounts Receivable</b>	
Commissions Rec	8,734.90
<b>Total Accounts Receivable</b>	8,734.90
<b>Other Current Assets</b>	
CRD Deposits	1,244.99
Interactive Brokers Cash	779.31
Interactive Brokers Clearing	12,362.31
<b>Total Other Current Assets</b>	14,386.61
<b>Total Current Assets</b>	101,308.06
<b>TOTAL ASSETS</b>	<b>101,308.06</b>
<b>LIABILITIES &amp; EQUITY</b>	
<b>Liabilities</b>	
<b>Current Liabilities</b>	
Accounts Payable	
Accounts Payable	6,133.89
<b>Total Accounts Payable</b>	6,133.89
<b>Total Current Liabilities</b>	6,133.89
<b>Total Liabilities</b>	6,133.89
<b>Equity</b>	
Additional Paid In Capital	148,942.68
Capital Stock	1,000.00
Retained Earnings	-123,496.54
Net Income	68,728.03
<b>Total Equity</b>	95,174.17
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<b>101,308.06</b>

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**Place Trade Financial, Inc.**

**Statement of Financial Condition**

**For the Year Ended December 31, 2014**

**(Bound Separately as Confidential Treatment is Requested)**