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Form 1 Page 1 Execution Page	UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT	Date filed (MM/DD/YY): 9/3/15	OFFICIAL USE ONLY
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Warning: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative or criminal action

INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS

APPLICATION AMENDMENT

1. State the name of the applicant: Miami International Securities Exchange, LLC
 2. Provide the applicant's primary street address (Do not use a P.O. Box): 7 Roszel Road, 5th Floor, Princeton, NJ 08540
 3. Provide the applicant's mailing address (if different):
 4. Provide the applicant's business telephone and facsimile number:
Telephone: 609-897-7300; Facsimile: 609-987-2210
 5. Provide the name, title and telephone number of a contact employee: Barbara J. Comly, General Counsel, Miami International Securities Exchange, LLC (609-897-7300)
 6. Provide the name and address of counsel for the applicant:
Barbara J. Comly, General Counsel
Miami International Securities Exchange, LLC
7 Roszel Road, 5th Floor
Princeton, NJ 08540
 7. Provide the date applicant's fiscal year ends: December 31
 8. Indicate legal status of applicant: Corporation Sole Proprietorship Partnership
 Limited Liability Company Other (specify):
- If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):
- (a) Date (MM/DD/YY): 9/10/07 (b) State/Country of formation: Delaware
- (c) Statute under which applicant was organized: Delaware Limited Liability Company Act, 6 Del. C. 18-1d et seq.



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EXECUTION: The applicant consents that service of any civil action brought by, or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete.

Miami International Securities Exchange, LLC
 By: Barbara J. Comly
 Barbara J. Comly, General Counsel

Date: September 3, 2015

Subscribed and sworn before me this 3rd day of September, 2015.

Jane Sciarra
 Jane Sciarra
 Notary Public of the State of New Jersey
 My Commission Expires October 27, 2019

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This page must always be completed in full with original, manual signature and notarization. Affix notary stamp or seal where applicable.

Exhibit C

Exhibit Request:

For each subsidiary or affiliate of the applicant, and for any entity with whom the applicant has a contractual or other agreement relating to the operation of an electronic trading system to be used to effect transactions on the exchange ("System"), provide the following information:

- 1. Name and address of organization.**
- 2. Form of organization (e.g., association, corporation, partnership, etc.).**
- 3. Name of state and statute citation under which organized. Date of incorporation in present form.**
- 4. Brief description of nature and extent of affiliation.**
- 5. Brief description of business or functions. Description should include responsibilities with respect to operation of the System and/or execution, reporting, clearance, or settlement of transactions in connection with operation of the System.**
- 6. A copy of the constitution.**
- 7. A copy of the articles of incorporation or association including all amendments.**
- 8. A copy of existing by-laws or corresponding rules or instruments.**
- 9. The name and title of the present officers, governors, members of all standing committees, or persons performing similar functions.**
- 10. An indication of whether such business or organization ceased to be associated with the applicant during the previous year, and a brief statement of the reasons for termination of the association.**

Response:

D. MIAX Global, LLC

9. *Name and title of present officers, governors, Members of standing committees and persons performing similar functions:*

Officers of MIAX Global, LLC: [Updated]

<u>Name</u>	<u>Title</u>
Thomas P. Gallagher	Chief Executive Officer
Barbara Comly	Executive Vice President, General Counsel & Corporate Secretary
Douglas M. Shafer, Jr.	Executive Vice President & Chief Information Officer
Paul Warner	Executive Vice President & Chief Financial Officer
Jeromee Johnson	Executive Vice President & Head of International Market Development

Exhibit F

Exhibit Request:

A complete set of all forms pertaining to:

- 1. Application for membership, participation, or subscription to the entity.**
- 2. Application for approval as a person associated with a member, participant, or subscriber of the entity.**
- 3. Any other similar materials.**

Response:

Exhibit F is hereby amended as set forth below.

1. Member Firm Application Checklist
2. Pre-Application Information Survey **[Updated form attached]**
3. Member Application
4. Market Maker Member Guarantee
5. Give-Up Agreement
6. User Agreement
7. Sponsored Access Agreement
8. Statutory Disqualification Notice
9. Attestation, Consent to Jurisdiction and Authorization of Approved Person Membership – Firm Applicant
10. Attestation, Consent to Jurisdiction and Authorization of Approved Person Membership – Individual Applicant
11. Exchange Data Agreement
12. Market Data Policies
13. MIAX Data Feed Affiliated Companies List – Schedule A
14. MIAX Data Feed Request – Schedule B
15. MIAX Data Feed Service Facilitator List – Schedule C
16. Market Data Subscriber Agreement – Schedule D

17. Service Bureau Agreement
18. Amendment to Member Application
19. Extranet Services Agreement

The Exchange intends to use Form U-4, the Uniform Application for Securities Industry Registration or Transfer, for persons applying to be associated persons of a Member.



MIAMI INTERNATIONAL SECURITIES EXCHANGE, LLC

Pre-Application Survey Form

I. Firm Name:
Address:
City/State/Zip Code:
Tele #:

II. Type of Membership
PrimaryLead Market Maker Member
Lead Market Maker Member
Registered Market Maker Member
Electronic Exchange Member
Order Flow
CRD# :
DEA :
Clearing Firm# :

III. Organizational Structure
Limited Liability Company
Partnership
Corporation
Other:

IV. Business and Operating Information
Type of trading activities:
Description of market maker, order routing and processing systems:

Application Contact:
Name: Title:
Tele #: Email:

Technical Contact:
Name: Title:
Tele #: Email:

Trading Contact:
Name: Title:
Tele #: Email:

Market Maker Business Unit Name:
Name: Title:
Tele #: Email:

Order Flow Provider Business Unit Name:
Name: Title:
Tele #: Email:

Clearance Business Unit Name:
Name: Title:
Tele #: Email:

Will your firm require connectivity to the MIAX? YES NO
Will your firm be routing orders to the MIAX through another firm? YES NO
Explain:

Will your firm be doing a public business? YES NO
Will your firm receive Market Data from the MIAX? YES NO
Is your firm (or an affiliate) publicly traded? YES NO
SYMBOL:

Exhibit J

Exhibit Request:

A list of the officers, governors, Members of all standing committees, or persons performing similar functions, who presently hold or have held their offices or positions during the previous year, indicating the following for each:

- 1. Name.**
- 2. Title.**
- 3. Dates of commencement and termination of term of office or position.**
- 4. Type of business in which each is primarily engaged (e.g., floor broker, specialist, odd lot dealer, etc.).**

Response:

1. Officers of Miami International Securities Exchange, LLC

The following are currently the officers of the Exchange: **[Updated]**

<u>Name</u>	<u>Title</u>
Thomas P. Gallagher	Chairman and Chief Executive Officer
Douglas M. Schafer, Jr.	Chief Information Officer and Executive Vice President
Barbara Comly	Executive Vice President, General Counsel and Corporate Secretary
Paul Warner	Executive Vice President and Chief Financial Officer
Edward Deitzel	Executive Vice President – Chief Regulatory Officer
John Smollen	Executive Vice President – Head of Exchange Traded Products and Strategic Relations
Shelly Brown	Senior Vice President – Strategic Planning and Operations
Randy Foster	Senior Vice President – Business Systems Development
Matthew Rotella	Senior Vice President – Trading Systems Development and System Operations
Frank Ziegler	Senior Vice President – Systems Infrastructure
Deborah Carroll	Senior Vice President, Associate General Counsel & Assistant Corporate Secretary
Richard Ross	Senior Vice President of Exchange Traded Products
Richard Rudolph	Vice President and Senior Counsel – Office of the General Counsel
Shawn Hughes	Vice President – Project Management

<u>Name</u>	<u>Title</u>
Harish Jayabalan	Vice President – Chief Risk Officer
John Masserini	Chief Security Officer and Vice President – Information Security
Amy Neiley	Vice President – Trading Operations
Lawrence O’Leary	Vice President – Regulatory Department

The officers of the Exchange will serve until their successors are appointed in accordance with the By-Laws of the Exchange. Officers of the Exchange will serve at the direction of the Board of Directors.

Exhibit M

Exhibit Request:

Provide an alphabetical list of all members, subscribers or other users, including the following information:

1. Name;
2. Date of election to membership or acceptance as a member, subscriber or other user;
3. Principal business address and telephone number;
4. If member, subscriber or other user is an individual, the name of the entity with which such individual is associated and the relationship of such individual to the entity (e.g. partner, officer, director, employee, etc.);
5. Describe the type of activities primarily engaged in by the member, subscriber, or other user (e.g. floor broker, specialist, odd lot dealer, other market maker, proprietary trader, non-broker dealer, inactive or other functions). A person shall be "primarily engaged" in an activity or function for purposes of this item when that activity or function is the one in which that person is engaged for the majority of their time. When more than one type of person at an entity engages in any of the six types of activities or functions enumerated in this item, identify each type (e.g. proprietary trader, Registered Competitive Trader and Registered Competitive Market Maker) and state the number of participants, subscribers, or other users in each; and
6. The class of membership, participation or subscription or other access.

Response:

Attached is a list of the members of the Exchange as of September 2, 2015 including the information set forth in items 1-6 above.



MEMBER FIRMS

Total Firms 44

As of 02-Sep-15

ABN AMRO CLEARING CHICAGO LLC 175 West Jackson Blvd., Ste. 400 Chicago IL 60604 Tele #: (312) 604-8000	Approval Date: 3/7/2013 Membership Type: ELECTRONIC EXCHANGE MEMBER: CLEARANCE
AUTOMATED TRADING DESK FINANCIAL SERVICES, LLC 11 Ewall Street Mt. Pleasant SC 29464 Tele #: (843) 789-2080	Approval Date: 12/7/2012 Membership Type: ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE
BARCLAYS CAPITAL INC. 745 Seventh Avenue New York NY 10019 Tele #: (212) 526-7000	Approval Date: 12/7/2012 Membership Type: ALL MEMBERSHIPS
BMO CAPITAL MARKETS CORP. 3 Times Square, 27th Floor New York NY 10036 Tele #: (212) 885-4000	Approval Date: 10/10/2014 Membership Type: ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE
BNP PARIBAS SECURITIES CORP. 787 Seventh Avenue New York NY 10019 Tele #: (212) 841-2000	Approval Date: 4/21/2014 Membership Type: ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE
CITADEL SECURITIES LLC 131 South Dearborn Street Chicago IL 60603 Tele #: (312) 395-2100	Approval Date: 12/7/2012 Membership Type: PL/EEM: ORD FLOW
COMPASS PROFESSIONAL SERVICES, LLC 111 W. Jackson Blvd., 20th Fl. Chicago IL 60604 Tele #: (312) 692-5000	Approval Date: 12/7/2012 Membership Type: ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE
CONVERGEX EXECUTION SOLUTIONS LLC 1633 Broadway, 48th Floor New York NY 10019 Tele #: (212) 486-7500	Approval Date: 12/1/2014 Membership Type: ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE
CREDIT SUISSE SECURITIES (USA) LLC 11 Madison Avenue, 3rd Fl. New York NY 10010 Tele #: (212) 325-2000	Approval Date: 12/7/2012 Membership Type: ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE
DASH FINANCIAL LLC 910 Van Buren Street, 4th Fl. Chicago IL 60607 Tele #: (847) 550-1730	Approval Date: 12/7/2012 Membership Type: ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE
DEUTSCHE BANK SECURITIES INC. 60 Wall Street New York NY 10005 Tele #: (212) 250-2500	Approval Date: 1/25/2013 Membership Type: ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE
DRW SECURITIES, L.L.C. 540 West Madison, Ste. 2500 Chicago NY 60661 Tele #: (312) 542-3231	Approval Date: 8/31/2015 Membership Type: EEM: ORDER FLOW
GLOBAL EXECUTION BROKERS, LP 401 City Avenue, Ste. 200 Bala Cynwyd PA 19004 Tele #: (610) 617-2600	Approval Date: 12/7/2012 Membership Type: EEM: ORDER FLOW
GOLDMAN SACHS EXECUTION & CLEARING, L.P. 200 West Street New York NY 10282 Tele #: (212) 902-1000	Approval Date: 12/7/2012 Membership Type: ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE

GOLDMAN, SACHS & CO.	Approval Date:	1/15/2013
200 West Street	Membership Type:	
New York NY 10282	ALL MEMBERSHIPS	
GROUP ONE TRADING LP	Approval Date:	10/20/2014
440 South La Salle, Ste. 3232	Membership Type:	
Chicago IL 60605	PL/L/RMM/EEM: ORD FLOW	
IMC-CHICAGO, LLC D/B/A IMC FINANCIAL MARKETS	Approval Date:	8/26/2014
233 South Wacker Drive, #4300	Membership Type:	
Chicago IL 60606	RMM	
INSTINET, LLC	Approval Date:	3/27/2013
1095 Avenue of the Americas	Membership Type:	
New York NY 10036	ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE	
INTERACTIVE BROKERS LLC	Approval Date:	12/7/2012
One Pickwick Plaza, 2nd Fl.	Membership Type:	
Greenwich CT 06830	ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE	
ITG DERIVATIVES LLC	Approval Date:	12/7/2012
601 S. LaSalle, Ste. 300	Membership Type:	
Chicago IL 60606	EEM: ORDER FLOW	
J.P. MORGAN CLEARING CORP.	Approval Date:	12/7/2012
3 Chase Metrotech Center	Membership Type:	
Brooklyn NY 11245	EEM: CLEARANCE	
J.P. MORGAN SECURITIES LLC	Approval Date:	12/7/2012
383 Madison Avenue	Membership Type:	
New York NY 10179	EEM: ORDER FLOW	
JEFFERIES LLC	Approval Date:	9/15/2014
520 Madison Avenue	Membership Type:	
New York NY 10022	ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE	
KCG AMERICAS LLC	Approval Date:	12/7/2012
545 Washington Boulevard	Membership Type:	
Jersey City NJ 07310	ALL MEMBERSHIPS	
LEK SECURITIES CORPORATION	Approval Date:	6/5/2014
1 Liberty Plaza, 165 Broadway, 52nd Fl	Membership Type:	
New York NY 10006	ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE	
LIME BROKERAGE LLC	Approval Date:	12/7/2012
625 Broadway, 12th Fl.	Membership Type:	
New York NY 10012	EEM: ORDER FLOW	
MERRILL LYNCH PROFESSIONAL CLEARING CORP.	Approval Date:	12/7/2012
One Bryant Park, 6th Fl.	Membership Type:	
New York NY 10036	EEM: CLEARANCE	
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORAT	Approval Date:	12/7/2012
One Bryant Park	Membership Type:	
New York NY 10036	ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE	
MORGAN STANLEY & CO. LLC	Approval Date:	12/7/2012
1585 Broadway	Membership Type:	
New York NY 10036	ALL MEMBERSHIPS	
OPTIVER US LLC	Approval Date:	1/27/2015
130 E. Randolph Street, Ste. 1300	Membership Type:	
Chicago IL 60601	RMM	
PEAK6 CAPITAL MANAGEMENT LLC	Approval Date:	7/22/2015
141 W. Jackson Blvd., Ste. 500	Membership Type:	
Chicago IL 60604	EEM: ORDER FLOW	

PERSHING LLC				Approval Date:	3/12/2013
1 Pershing Plaza, 10th Fl.				Membership Type:	
Jersey City	NJ	07399	Tele #:	(201) 413-2000	ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE
QUANTLAB SECURITIES, LP				Approval Date:	4/7/2015
4200 Montrose Blvd., Ste. 200				Membership Type:	
Houston	TX	77006	Tele #:	(713) 333-3700	EEM: ORDER FLOW
SIMPLEX TRADING, LLC				Approval Date:	9/20/2013
230 So. LaSalle St., Ste. 4-100				Membership Type:	
Chicago	IL	60604	Tele #:	(312) 360-2440	EEM: ORDER FLOW
SOUTHWEST SECURITIES, INC.				Approval Date:	2/8/2013
1201 Elm Street, Ste. 3500				Membership Type:	
Dallas	TX	75270	Tele #:	(214) 859-1800	EEM: CLEARANCE
SUSQUEHANNA SECURITIES				Approval Date:	12/7/2012
401 City Avenue, Ste. 220				Membership Type:	
Bala Cynwyd	PA	19004	Tele #:	(610) 617-2600	PLMM
TIMBER HILL LLC				Approval Date:	12/7/2012
One Pickwick Plaza, Ste. 200				Membership Type:	
Greenwich	CT	06830	Tele #:	(203) 618-5800	PL/LRMM/EEM: CLEAR
UBS SECURITIES LLC				Approval Date:	12/7/2012
677 Washington Boulevard				Membership Type:	
Stamford	CT	06901	Tele #:	(203) 719-3000	ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE
VOLANT LIQUIDITY, LLC				Approval Date:	5/31/2013
7 World Trade Center, Ste. 3301				Membership Type:	
New York	NY	10007	Tele #:	(646) 484-3000	LEAD/REG MM/EEM: ORD FLOW
WALLEYE TRADING LLC				Approval Date:	5/13/2015
2800 Niagara Lane North				Membership Type:	
Plymouth	MN	55447	Tele #:	(952) 345-6611	EEM: ORDER FLOW
WEDBUSH SECURITIES INC.				Approval Date:	12/7/2012
1000 Wilshire Boulevard				Membership Type:	
Los Angeles	CA	90017	Tele #:	(213) 688-8090	EEM: CLEARANCE
WELLS FARGO SECURITIES, LLC				Approval Date:	4/11/2014
550 South Tryon Street, 6th Floor				Membership Type:	
Charlotte	NC	28202	Tele #:	(704) 715-6133	ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE
WOLVERINE EXECUTION SERVICES, LLC				Approval Date:	12/7/2012
175 W. Jackson Blvd., Ste. 200				Membership Type:	
Chicago	IL	60604	Tele #:	(312) 884-4000	ELECTRONIC EXCHANGE MEMBER: ORD FLOW/CLEARANCE
WOLVERINE TRADING, LLC				Approval Date:	12/7/2012
175 W. Jackson Blvd., Ste. 200				Membership Type:	
Chicago	IL	60604	Tele #:	(312) 884-3490	PL/LMM

For MIH Restricted and Proprietary information:

MIH Restricted – Confidential and Proprietary Information of Miami International Holdings, Inc. and its subsidiaries

Activity Key - Market Maker:
 PL = Primary Lead; L = Lead;
 R = Regular

9/3/2015

ORIGIN ID: PRIA (609) 897-8174
BARBARA J. COMLY, ESQ.
MIAMI INTERNATIONAL HOLDINGS, INC.
7 ROSZEL ROAD, 5TH FL

SHIP DATE: 03SEP15
ACTWGT: 0.50 LB
CAD: 104672866/NET3670

PRINCETON, NJ 08540
UNITED STATES US

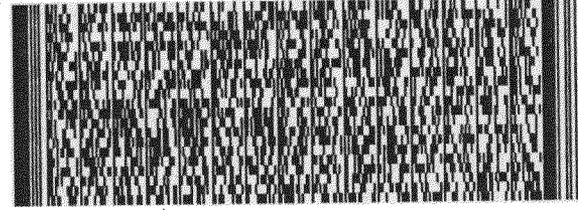
BILL SENDER

TO CHRIS GROBBEL
SECURITIES & EXCHANGE COMMISSION
DIV OF TRADING & MARKETS
100 F STREET NE
WASHINGTON DC 20549

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(609) 897-8174
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U.S. DEPARTMENT OF JUSTICE

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