

COPY

Form 1 Page 1 Execution Page	UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT	Date filed (MM/DD/YY):	OFFICIAL USE ONLY
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WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the would violate the federal securities laws and may result in disciplinary, administrative, or criminal action.



15021140

APPLICATION ISE Gemini, LLL  AMENDMENT

1. State the name of the applicant: \_\_\_\_\_

2. Provide the applicant's primary street address (Do not use a P.O. Box): 60 Broad Street  
26<sup>th</sup> Floor New York, NY 10004

3. Provide the applicant's mailing address (if different): \_\_\_\_\_

4. Provide the applicant's business telephone and facsimile number:  
212 943-2400 (Telephone) 212-509-3955 (Facsimile)

5. Provide the name, title, and telephone number of a contact employee:  
Ronan Cahill (Name) Senior Legal and Regulatory Associate (Title) 212-897-5152 (Telephone Number)

6. Provide the name and address of counsel for the applicant:  
Michael Simon  
60 Broad Street  
New York, NY 10004

7. Provide the date applicant's fiscal year ends: December 31, 2016

8. Indicate legal status of applicant:  Corporation  Sole Proprietorship  Partnership  
 Limited Liability Company  Other (specify): \_\_\_\_\_

If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):

(a) Date (MM/DD/YY): 05/30/2012 (b) State/Country of formation: Delaware

(c) Statute under which applicant was organized: Delaware LLC Act 6 Del C section 18-101

SEC  
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Section  
SEP 15 2015  
Washington DC  
404

**EXECUTION:** The applicant consents that service of any civil action brought by, or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duty sworn, deposes and says that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete.

Date: 9/14/15 (MM/DD/YY) ISE Gemini, LLL (Name of applicant)

By: [Signature] (Signature) Ronan Cahill (Printed Name and Title) Legal Associate

Subscribed and sworn before me this 11<sup>th</sup> day of Sept, 2015 by Joseph Ferrara (Notary Public)

My Commission expires 1/16/19 (Month) NY (Year) NY (Notary Public) State of NY

JOSEPH W. FERRARO  
Notary Public - State of New York  
NO. 02FE6082165  
Qualified in New York County  
My Commission Expires 1/16/19



September 14, 2015

VIA FEDERAL EXPRESS

Chris Grobbel  
U.S. Securities and Exchange Commission  
Division of Market Regulation  
Office of Market Supervision  
100 F Street NE  
Mail Stop 6628  
Washington, DC 20549

Re: ISE Gemini, LLC  
SEC Rule 6a-2 and 6a-3 Materials

Dear Mr. Grobbel:

Pursuant to Rule 6a-2(a) of the Securities Exchange Act of 1934, this is an update of the ISE Gemini, LLC's ("ISE Gemini" or "Exchange") Form 1.

In addition, pursuant to Rule 6a-3(a), within the last 10 days, ISE Gemini issued or has made available certain material to its members, participants or subscribers. The material can be found at the following locations on ISE Gemini's website:

**Options**

*New Listings:*

<http://ise.com/newlistings>

*Delistings:*

<http://ise.com/delistings>

*Expiration Notices:*

<http://ise.com/expirationnotices>

*Series Additions/Deletions:*

<http://ise.com/serieslist>

*Market Information Circulars:*

<http://ise.com/mics>

**Index Options**

*Recent Index Changes:*

<http://ise.com/newlistings>

*Index Settlement Values:*

<http://www.ise.com/options/products-traded/index-settlement-values>

**Legal & Regulatory**

*Regulatory Information Circulars:*

<http://www.ise.com/rics>

*Rules:*

<http://www.ise.com/rules>

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**Marketing Material**

Press Releases: 2015 SEP 15 PM 3: 04

<http://www.ise.com/press-room/press-releases/>

Publications: SEC 7 MR

<http://www.ise.com/publications>

**Corporate**

Board Members:

<http://www.ise.com/about-ise/board-of-directors/>

Constitution:

<http://www.ise.com/media/92473/Constitution-of-Topaz-Exchange-31-Jul-13-name-change-to-ISE-Gemini-.pdf>

LLC Agreement:

<http://www.ise.com/media/103279/Executed-Second-Amended-and-Restated-LLC-Agreement-18-Dec-2014-.pdf>

Exchange Officers:

<http://www.ise.com/about-ise/management-team/>

**Members**

List of ISE Members:

<http://www.ise.com/options/membership/exchange-members/>

**Transactional Volume**

Volume Report

<http://www.ise.com/options/exchange-data/>

With respect to the information contained in Exhibit C as it relates to subsidiaries and affiliates as required by Rule 6a-2(d)(1), the Exchange hereby certifies that the information is kept up to date and is available to the Commission and the public upon request. If you have any questions on these materials, please do not hesitate to contact me at (212) 897-8152.

Sincerely,



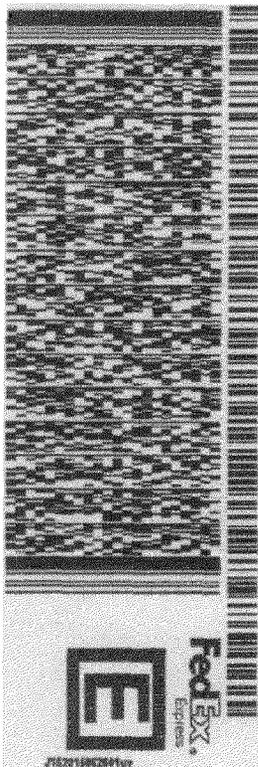
Ronan Cahill  
Senior Legal & Regulatory Associate

ORIGIN ID:SYVA (212) 897-8152  
ROMAN CAYILL  
60 BROAD STREET  
NEW YORK, NY 10004  
UNITED STATES US

SHIP DATE: 14SEP15  
ACTWTG: 1.00 LB  
CAD: 10121804/NET3870  
BILL SENDER

TO CHRIS GROBBEL  
US SECURITIES & EXCHANGE COMMISSION  
OFFICE OF MARKET SUPERVISION  
100 F STREET NE, MAIL STOP 6628  
WASHINGTON DC 20549

FO (202) 561-5658 DEPT  
AV/ FEB

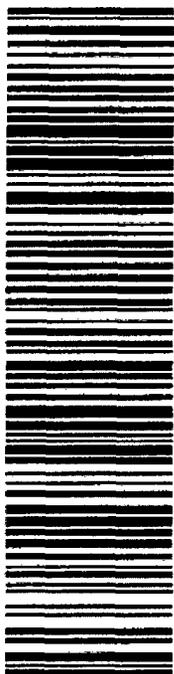


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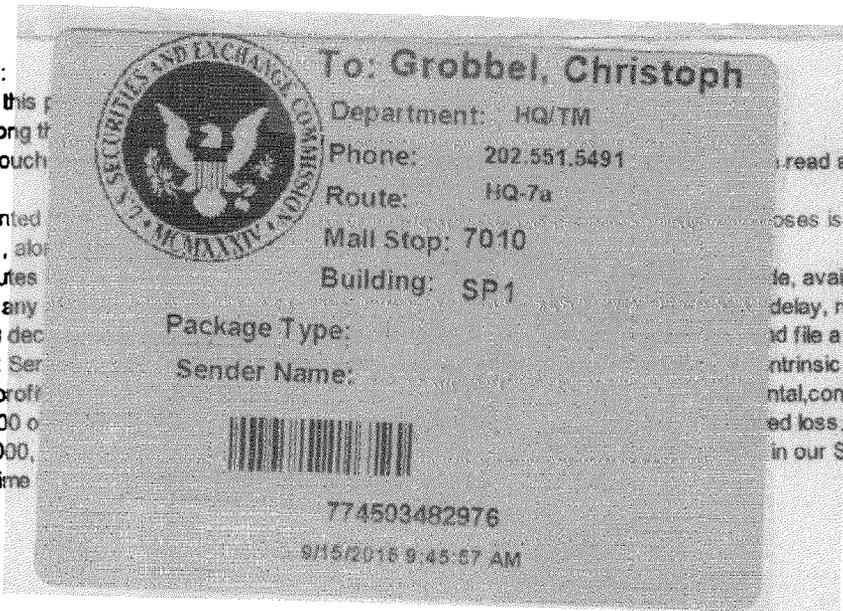


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