

091-198212

For Internal Use Only

Sec File No. 9-

2015 DEC 18 PM 12:35

SEC / TM

Submit 1 Original and 9 Copies

SEC Mail Processing Section

DEC 18 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Washington DC 404

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



15021089

Part I Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: **NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)**
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company**
- 3. Class of New Derivative Securities Product: **Exchange Traded Fund**
- 4. Name of Underlying Instrument: **S&P Biotechnology Select Industry Index**
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based**
- 6. Ticker Symbol(s) of New Derivative Securities Product: **LABS**
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: **Listed on: CME Group, NASDAQ Stock Market LLC, NYSE, OTC**
- 8. Position Limits of New Derivative Securities Product (if applicable): **Regular way trades settle on T + 3 (cash settled)**
- 9. Position Limits of New Derivative Securities Product (if applicable): **N/A**

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: **Colleen Steele**  
Title: **Assistant Corporate Secretary**

Telephone Number: **301-978-8736**

Manual Signature of Official Responsible for Form:

Date: **December 11, 2015**

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

Public Availability: **DEC 18 2015**