

091-19337

For Internal Use Only	Submit 1 Original	OMB Approval No.:
Sec File No. 91	and 9 Copies	Expires:

RECEIVED

Estimated average burden hours per response: 2.00

2015 OCT 28 PM 2:17

SEC / MR

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

NYSE Arca, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open-end Management Investment Company

**SEC  
Mail Processing  
Section**

3. Class of New Derivative Securities Product:

Investment Company Units

OCT 28 2015

4. Name of Underlying Instrument:

CSI 300 Smart Index

Washington DC  
404

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

HAHA



15020781

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Hong Kong, Shanghai, Shenzhen

8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Martha Redding

Title:

Senior Counsel and Assistant Secretary

Telephone Number:

(212) 656-2938

Manual Signature of Official Responsible for Form:

October 27, 2015

SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT 28 2015



Martha Redding  
Senior Counsel  
Assistant Secretary

New York Stock Exchange  
11 Wall Street  
New York, NY 10005  
T + 1 212 656 2938  
F + 1 212 656 8101  
Martha.Redding@theica.com

RECEIVED  
OCT 28 2015  
SEC  
Mail Processing  
Section  
OCT 28 2015  
Washington DC  
404

**Via Overnight Mail**

October 27, 2015

Ms. Gail Jackson  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place - Building I  
100 F Street, N.E. - Room 6628  
Washington, DC 20549

**Re: 19b-4(e) - Transmittal**

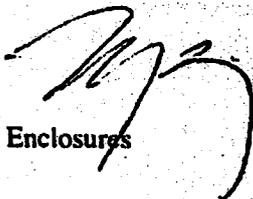
Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

**CSOP China CSI 300 A-H Dynamic ETF (HAHA)**  
**CSOP MSCI China A International Hedged ETF (CNHX)**  
**Deutsche X-trackers CSI 300 China A-Shares Hedged Equity ETF (ASHX)**  
**SPDR S&P 500 High Dividend ETF (SPYD)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

  
Enclosures

<b>Act</b>	Securities Exchange Act of 1934
<b>Section</b>	19b-4
<b>Rule</b>	19b-4(e)
<b>Public Availability:</b>	OCT 28 2015